

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : <b>C07H 17/08, C12P 19/62, A01N 43/22</b>		A1	(11) International Publication Number: <b>WO 94/20518</b> (43) International Publication Date: 15 September 1994 (15.09.94)
(21) International Application Number: <b>PCT/US94/02674</b>		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 11 March 1994 (11.03.94)			
(30) Priority Data: 08/030,522 12 March 1993 (12.03.93) US		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(71) Applicant: DOWELANCO [US/US]; 9330 Zionsville Road, Indianapolis, IN 46268-1054 (US).			
(72) Inventors: MYNDERSE, Jon, S.; 4040 Cooper Road, Indianapolis, IN 46208 (US). BAKER, Patrick, J.; 480 Serenity Way, Greenwood, IN 46142 (US). MABE, James, A.; 422 Deerhaven Lane, Hendersonville, NC 28739 (US). TURNER, Jan, R.; 651 Ash Drive, Carmel, IN 46032 (US). HUBER, Mary, L., B.; 3714 West 100 South 212, Danville, IN 46122 (US). BROUGHTON, Mary, C.; 5430 Central Avenue, Indianapolis, IN 46220 (US). NAKATSUKASA, Walter, M.; 8810 12th Avenue, N.E., Seattle, WA 98115 (US). CREEMER, Lawrence; 4810 Raceway Road, Indianapolis, IN 46234 (US). KIRST, Herbert, A.; 7840 West 88th Street, Indianapolis, IN 46278 (US). MARTIN, James, W.; Route 2, Box 177 MS, Coatesville, IN 46121 (US).			
(74) Agent: BORUCKI, Andrea, T.; DowElanco, 9330 Zionsville Road, Indianapolis, IN 46268-1054 (US).			

(54) Title: NEW A83543 COMPOUNDS AND PROCESS FOR PRODUCTION THEREOF

(57) Abstract

New A83543 components, including fermentation products A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and A83543Y and N-demethyl derivatives, and salts thereof, are useful for the control of insects and mites. The pseudoaglycones of the new A83543 components are useful for the preparation of A83543 components. Methods are provided for making the new A83543 components by culturing of *Saccharopolyspora spinosa* NRRL 18395, NRRL 18537, NRRL 18538, or NRRL 18539, or NRRL 18743 or NRRL 18719 or NRRL 18823 in suitable culture medium. Insecticidal and ectoparasiticidal compositions containing new A83543 components are also provided.

EV 630723785 US  
Entry into National Phase of PCT/EP2004/052762  
Attorney Docket: I-2003.019 US

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

New A83543 Compounds and Process for Production Thereof

Field of the Invention

The invention relates to new components of fermentation product A83543.

5      Background of the Invention

Target insects are rapidly developing resistance to the insecticides which are presently available. Resistance to insecticides in arthropods is widespread, with at least 400 species exhibiting resistance to one or more insecticides. The development of resistance to older insecticides, such as DDT, the carbamates, and the organophosphates, is well documented (see Brattsten, *et al.* (1986), *Science*, 231:1255).  
10      Resistance to synthetic insecticides has developed extremely rapidly, including the development of resistance to the newer pyrethroid insecticides (see Pickett (1988), *Chem. Britain*, 137). Therefore, new insecticides are in demand.  
15  
20

Fermentation product A83543, a family of related compounds produced by *Saccharopolyspora spinosa*, was recently discovered and was shown to exhibit excellent insecticidal activity. A83543 and its individual  
25

compounds are useful for the control of mites and insects, particularly *Lepidoptera* and *Diptera* species.

By "A83543 compounds" is meant components consisting of a 5,6,5-tricyclic ring system, fused to a 12-membered macrocyclic lactone, a neutral sugar and an amino sugar (see Kirst et al. (1991), *Tetrahedron Letters*, 32:4839). The family of natural components of A83543 include a genus taught in EPO Application No. 0375316 and having the following general formula:

10

15

20

25

30

35

40

45

50

55

60

65

70

75

80

85

90

95

100

105

110

115

120

125

130

135

140

145

150

155

160

165

170

175

180

185

190

195

200

205

210

215

220

225

230

235

240

245

250

255

260

265

270

275

280

285

290

295

300

305

310

315

320

325

330

335

340

345

350

355

360

365

370

375

380

385

390

395

400

405

410

415

420

425

430

435

440

445

450

455

460

465

470

475

480

485

490

495

500

505

510

515

520

525

530

535

540

545

550

555

560

565

570

575

580

585

590

595

600

605

610

615

620

625

630

635

640

645

650

655

660

665

670

675

680

685

690

695

700

705

710

715

720

725

730

735

740

745

750

755

760

765

770

775

780

785

790

795

800

805

810

815

820

825

830

835

840

845

850

855

860

865

870

875

880

885

890

895

900

905

910

915

920

925

930

935

940

945

950

955

960

965

970

975

980

985

990

995

1000

1005

1010

1015

1020

1025

1030

1035

1040

1045

1050

1055

1060

1065

1070

1075

1080

1085

1090

1095

1100

1105

1110

1115

1120

1125

1130

1135

1140

1145

1150

1155

1160

1165

1170

1175

1180

1185

1190

1195

1200

1205

1210

1215

1220

1225

1230

1235

1240

1245

1250

1255

1260

1265

1270

1275

1280

1285

1290

1295

1300

1305

1310

1315

1320

1325

1330

1335

1340

1345

1350

1355

1360

1365

1370

1375

1380

1385

1390

1395

1400

1405

1410

1415

1420

1425

1430

1435

1440

1445

1450

1455

1460

1465

1470

1475

1480

1485

1490

1495

1500

1505

1510

1515

1520

1525

1530

1535

1540

1545

1550

1555

1560

1565

1570

1575

1580

1585

1590

1595

1600

1605

1610

1615

1620

1625

1630

1635

1640

1645

1650

1655

1660

1665

1670

1675

1680

1685

1690

1695

1700

1705

1710

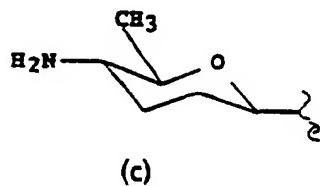
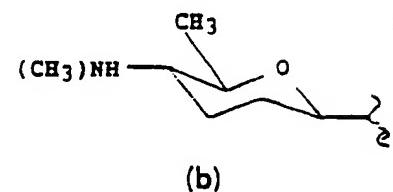
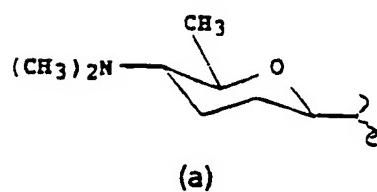
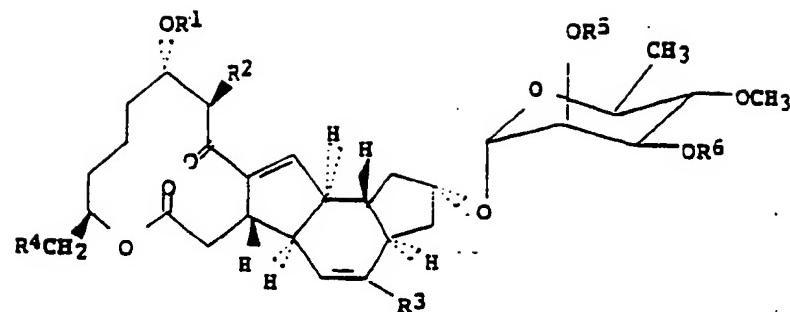
1715

and R<sup>2</sup>, R<sup>4</sup>, R<sup>3</sup>, R<sup>5</sup> and R<sup>6</sup> are hydrogen or methyl; or an acid addition salt thereof when R<sup>1</sup> is other than hydrogen.

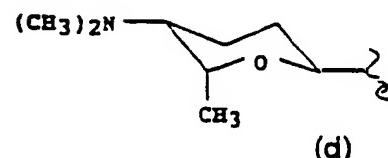
The family of compounds from A83543  
5 fermentation product has been shown to comprise individual components A83543A, A83543B, A83543C, A83543D, A83543E, A83543F, A83543G, A83543H and A83543J (see European Patent Publication No. 0 375 316); individual components A83543L, A83543M and A83543N (see 10 copending United States Patent Application Number 07/790,287, filed November 8, 1991); and individual components A83543Q, A83543R, A83543S and A83543T (see the copending United States Patent Application of 15 Turner, Broughton, Huber and Mynderse, entitled "New A83543 Compounds and Processes for Production Thereof" (United States Patent Application Serial Number 07/973,121), filed on November 6, 1992). The structures of these individual components and pseudoaglycones 20 derived therefrom are shown below.

25

30



or



25 wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are for each component as follows:

## Structures of A83543 Components

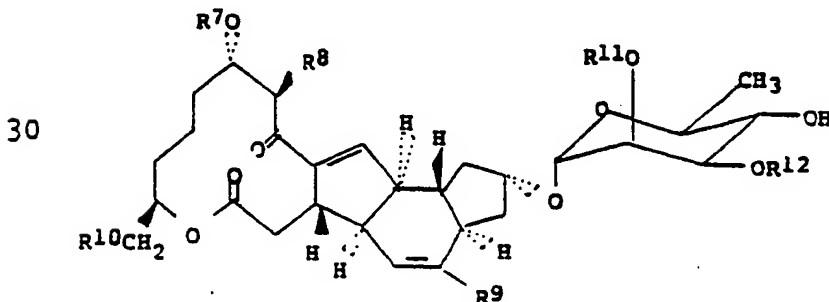
Component	R1	R2	R3	R4	R5	R6
A	(a)	Me	H	Me	Me	Me
B	(b)	Me	H	Me	Me	Me
C	(c)	Me	H	Me	Me	Me
D	(a)	Me	Me	Me	Me	Me
E	(a)	Me	H	H	Me	Me
F	(a)	H	H	Me	Me	Me
G	(d)	Me	H	Me	Me	Me
H	(a)	Me	H	Me	H	Me
J	(a)	Me	H	Me	Me	H
L	(a)	Me	Me	Me	Me	H
M	(b)	Me	H	Me	Me	H
N	(b)	Me	Me	Me	Me	H
Q	(a)	Me	Me	Me	H	Me
R	(b)	Me	H	Me	H	Me
S	(a)	Me	H	H	H	Me
T	(a)	Me	H	Me	H	H
PsaAl	H	Me	H	Me	Me	Me
PsaDl	H	Me	Me	Me	Me	Me
PsaEl	H	Me	H	H	Me	Me
PsaFl	H	H	H	Me	Me	Me
PsaHl	H	Me	H	Me	H	Me
PsaJl	H	Me	H	Me	Me	H
PsaLl	H	Me	Me	Me	Me	H
PsaQl	H	Me	Me	Me	H	Me
PsaRl	H	Me	H	Me	H	Me
PsaSl	H	Me	H	H	H	Me
PsaTl	H	Me	H	Me	H	H

Sinefungin, an antibiotic of microbial origin, has been shown to inhibit specific S-adenosylmethionine-dependent methyltransferases. This compound is effective in inhibiting the following mammalian methyltransferases: norepinephrine N-methyltransferase, histamine N-methyltransferase and catechol O-methyltransferase (see Fuller and Nagarajan (1978), Biochemical Pharmacology, 27:1981). Sinefungin is also effective in inhibiting the S-adenosyl-methionine-dependent O-methyltransferase in avermectin-producing strains of *Streptomyces avermitilis* (see Schulman, *et al.* (1985), J. Antibiotics, 38:1494). More recently, sinefungin was reported effective in inhibiting an S-adenosylmethionine-dependent O-methyltransferase (macrocin O-methyltransferase) in *Streptomyces fradiae* (see Kreuzman, *et al.* (1988), J. Biological Chemistry, 263:15626). A method of using sinefungin to inhibit an O-methyltransferase in strains of *S. spinosa* is disclosed herein.

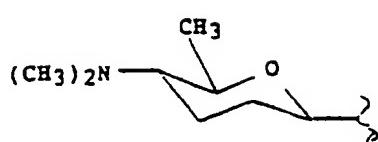
20

#### Summary of the Invention

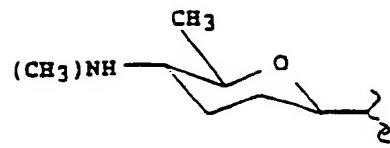
The present invention is directed to a new genus of the A83543 family of compounds, said genus including compounds of Formula 1



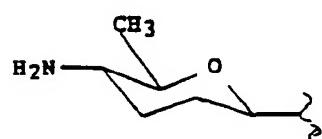
wherein R<sup>7</sup> is hydrogen or a group of formula



(a)



(b)



(c)

or

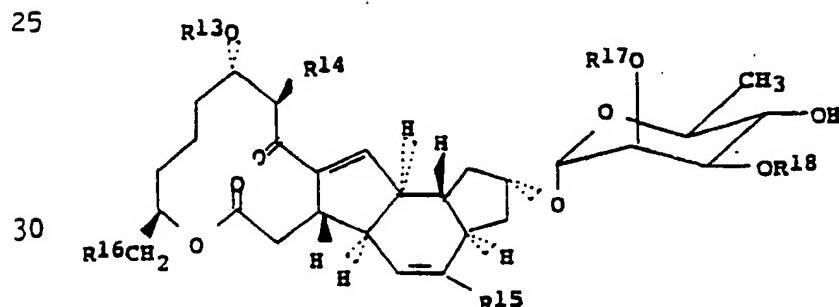


(d)

15 R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, and R<sup>12</sup> are independently hydrogen or methyl, provided that R<sup>11</sup> and R<sup>12</sup> are not concurrently hydrogen; or an acid addition salt thereof when R<sup>7</sup> is other than hydrogen.

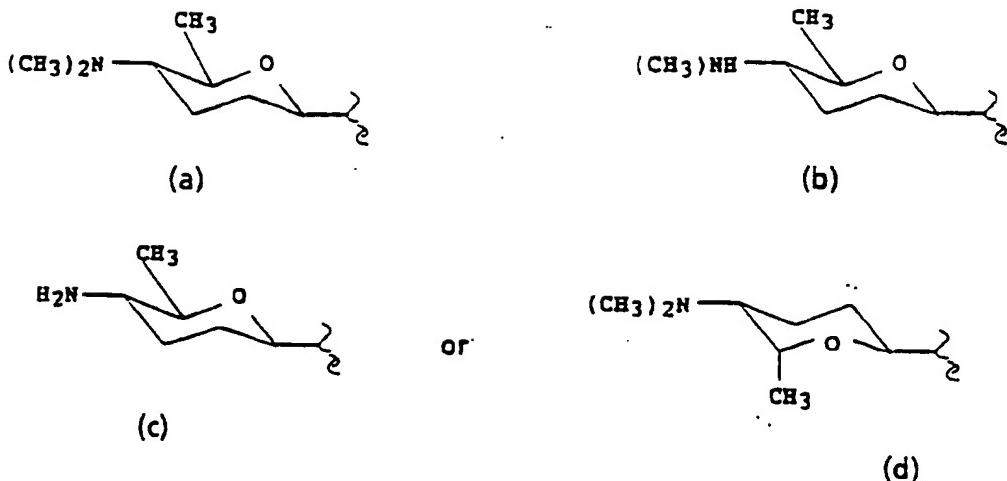
20 In particular, this invention relates to new components of fermentation product A83543. The new components, termed Formula 2 compounds, have the following general formula:

25



(2)

wherein R<sup>13</sup> is a group of formula



and R<sub>14</sub>, R<sub>15</sub>, R<sub>16</sub>, R<sub>17</sub> and R<sub>18</sub> are independently hydrogen or methyl, provided that R<sub>17</sub> and R<sub>18</sub> are not concurrently hydrogen; or an acid addition salt thereof when R<sub>1</sub> is other than hydrogen.

Preferably, this invention relates to new A83543 components, Formula 2 components, designated A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and A83543Y, wherein R<sub>13</sub>, R<sub>14</sub>, R<sub>15</sub>, R<sub>16</sub>, R<sub>17</sub> and R<sub>18</sub> are for each component as follows:

Component	R <sub>13</sub>	R <sub>14</sub>	R <sub>15</sub>	R <sub>16</sub>	R <sub>17</sub>	R <sub>18</sub>
K	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
O	(a)	CH <sub>3</sub>				
P	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	H
U	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	H	CH <sub>3</sub>
V	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
W	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
Y	(a)	CH <sub>3</sub>	H	H	CH <sub>3</sub>	CH <sub>3</sub>

Another aspect of this invention is a process for producing a compound of Formula 1, which comprises culturing a strain of *S. spinosa*, selected from strains NRRL 18395 (A83543.1), NRRL 18537 (A83543.3), NRRL 18538 (A83543.4), NRRL 18539 (A83543.5), NRRL 18719 (A83543.6) 5 and NRRL 18823 (A83543.9) or a Formula 1-producing mutant thereof, in a suitable culture medium, containing from about 50 µg/ml to about 200 µg/ml of sinefungin, under submerged aerobic conditions until a recoverable amount of a compound of Formula 1 is produced. The 10 Formula 1 compound is extracted from the fermentation broth and from the mycelium with polar organic solvents. The compound may be further purified by techniques well known in the art, such as column chromatography.

15 A still further aspect of the present invention is a process for producing a compound of Formula 1 which comprises cultivating *S. spinosa* strain NRRL 18743 (A83543.8) or an A83543K-producing mutant thereof, in a 20 suitable culture medium, under submerged aerobic fermentation conditions, until a recoverable amount of a compound of Formula 1 is produced. The Formula 1 compound can be isolated and purified as described herein.

25 Because strain NRRL 18743 is a newly discovered strain, this invention further provides a biologically purified culture of this microorganism.

30 The Formula 2 compounds are useful for the control of mites and insects, particularly *Lepidoptera*, *Homoptera*, and *Diptera* species. Therefore, insecticidal and miticidal compositions and methods for reducing the

populations of insects and mites using these compounds are also a part of this invention.

Description of the Drawings

5       Figure 1 shows the infrared absorption spectrum of A83543K in KBr.

Figure 2 shows the proton nuclear magnetic resonance spectrum of A83543K in acetone-d<sub>6</sub>.

10      --Figure 3 shows the UV spectrum spectrum of A83543K in EtOH.

Figure 4 shows the infrared absorption spectrum of A83543O in KBr.

15      Figure 5 shows the proton nuclear magnetic resonance spectrum of A83543O in acetone-d<sub>6</sub>.

20      Figure 6 shows the UV spectrum spectrum of A83543O in EtOH.

Figure 7 shows the infrared absorption spectrum of A83543P in KBr.

25      Figure 8 shows the proton nuclear magnetic resonance spectrum of A83543P in acetone-d<sub>6</sub>.

Figure 9 shows the UV spectrum spectrum of A83543P in EtOH.

30      Figure 10 shows the infrared absorption spectrum of A83543U in KBr.

Figure 11 shows the proton nuclear magnetic resonance spectrum of A83543U in acetone-d<sub>6</sub>.

A83543U in EtOH.

Figure 13 shows the infrared absorption spectrum of A83543V in KBr.

Figure 14 shows the proton nuclear magnetic resonance spectrum of A83543V in acetone-d<sub>6</sub>.

Figure 15 shows the UV spectrum spectrum of A83543V in EtOH.

Figure 16 shows the infrared absorption spectrum of A83543W in KBr.

Figure 17 shows the proton nuclear magnetic resonance spectrum of A83543W in acetone-d<sub>6</sub>.

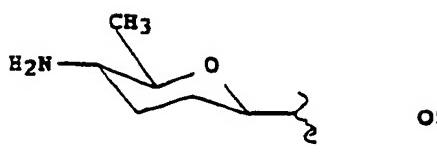
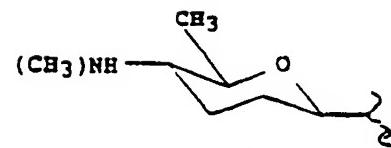
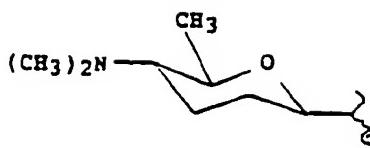
10 Figure 18 shows the UV spectrum spectrum of A83543V in EtOH.

Figure 19 shows the infrared absorption spectrum of A83543Y in KBr.

20 Figure 20 shows the proton nuclear magnetic resonance spectrum of A83543Y in acetone-d<sub>6</sub>.

25 Figure 21 shows the UV spectrum spectrum of A83543V in EtOH.

Figure 22 shows the principle component plot of fatty acid analyses for strains A83543.1, A83543.3  
A83543.4, A83543.5, A83543.6, A83543.7, A83543.8 and  
30 A83543.9.--  
wherein R<sup>7</sup> is hydrogen or a group of formula



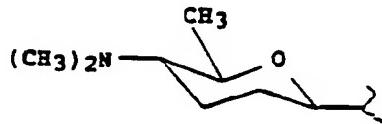
or



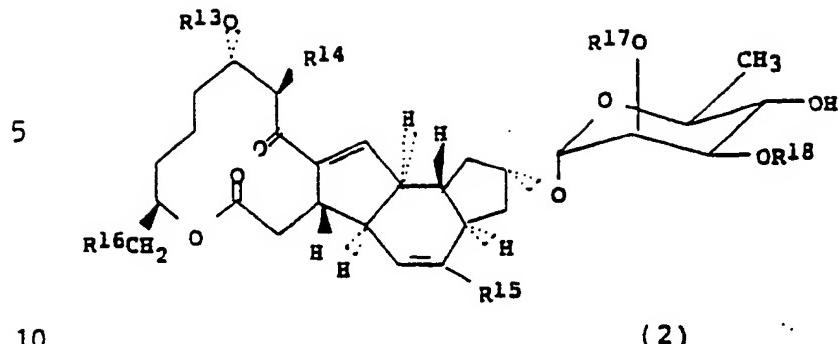
15  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ , and  $R^{12}$  are independently hydrogen or methyl, provided that  $R^{11}$  and  $R^{12}$  are not concurrently hydrogen; or an acid addition salt thereof when  $R^7$  is other than hydrogen.

20 A preferred aspect of the invention is the Formula 1 compounds wherein  $R^8$  and  $R^{10}$  are methyl. A more preferred aspect of the invention is the Formula 1 compounds wherein  $R^8$  and  $R^{10}$  are methyl and  $R^7$  is a group of formula

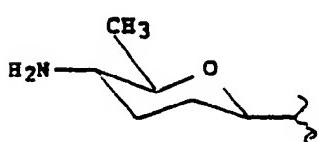
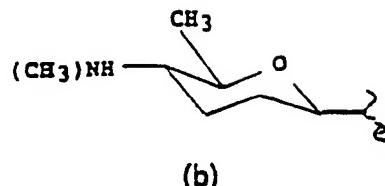
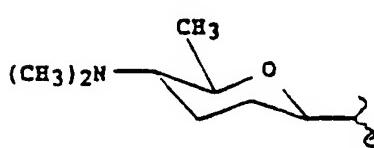
25



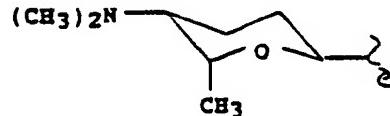
30 Another aspect of the present invention is new components of fermentation product A83543. These new A83543 components, termed Formula 2 compounds, have the following chemical structure:



wherein R13 is a group of formula



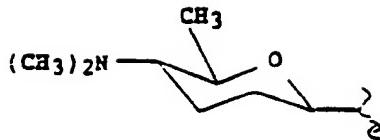
or



and R14, R15, R16, R17 and R18 are independently hydrogen or methyl, provided that R17 and R18 are not concurrently hydrogen; or an acid addition salt thereof  
30 when R13 is other than hydrogen.

A more preferred aspect of the present invention is the Formula 2 compounds wherein R14 is CH<sub>3</sub> and R13 is a group of formula

5

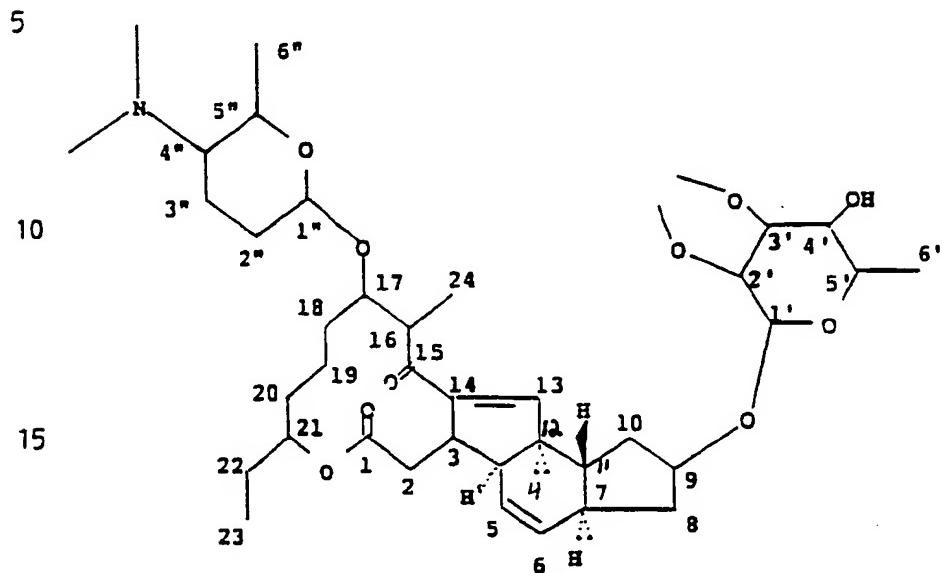


Preferably, this invention relates to new A83543 components, Formula 2 compounds, designated 10 A83543K, A83543O, A83543P, A83543U, A83543V, A83543W, and A83543Y, wherein R<sub>13</sub>, R<sub>14</sub>, R<sub>15</sub>, R<sub>16</sub>, R<sub>17</sub> and R<sub>18</sub> are individually for each new component as follows:

Component	R <sub>13</sub>	R <sub>14</sub>	R <sub>15</sub>	R <sub>16</sub>	R <sub>17</sub>	R <sub>18</sub>
K	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
O	(a)	CH <sub>3</sub>				
P	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	H
U	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	H	CH <sub>3</sub>
V	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
W	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
Y	(a)	CH <sub>3</sub>	H	H	CH <sub>3</sub>	CH <sub>3</sub>

25 The chemical structures of these new components were determined by spectrometric methods, including mass spectrometer infrared spectroscopy (IR), nuclear magnetic resonance spectroscopy (NMR), and ultraviolet spectroscopy (UV), and by comparison to the A83543 components (see Kirst et al. (1991), *supra*). The following 30 paragraphs describe the physical and spectral properties of components A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and A83543Y.

For the convenience of the reader, the following diagram of A83543K provides the position designations of all NMR spectral data for the A83543 natural factors presented below:



20

25

30

-15-

## SUBSTITUTE SHEET (RULE 26)

A83543K:

A83543K has the following characteristics:

5

Molecular weight: 717

Empirical formula: C<sub>40</sub>H<sub>63</sub>NO<sub>10</sub>

UV (EtOH): 243 nm ( $\epsilon=10,657$ )

MS (FAB): (M+H) m/z 718

IR (KBR): see Figure 1.

Table I summarizes the <sup>1</sup>H and <sup>13</sup>C NMR spectral data for A83453K (in acetone-d<sub>6</sub>) as shown in Figure 2.

Table I. <sup>1</sup>H and <sup>13</sup>C NMR data of  
A83543K in acetone-d<sub>6</sub>

	Position	<sup>13</sup> C	<sup>1</sup> H*
15	1	172.69	--
	2	34.57	3.07/2.46
	3	48.46	2.94
	4	42.41	3.50
20	5	129.84	5.86
	6	130.39	5.92
	7	42.18	2.16
	8	37.24	1.97/1.38
25	9	77.09	4.35
	10	38.38	2.37/1.38
	11	47.15	0.93
	12	50.49	2.85
30	13	148.32	7.06
	14	145.78	--
	15	203.15	--

\* Some assignments are from <sup>1</sup>H/<sup>13</sup>C correlations.

Table I. Continued

	Position	$^{13}\text{C}$	$^1\text{H}^*$
5	16	48.41	3.31
	17	81.23	3.53
	18	35.18	1.50
	19	22.44	1.78/1.17
10	20	31.12	1.50
	21	76.86	4.65
	22	29.15	1.48
15	23	9.56	0.81
	24	16.42	1.12
	1'	97.47	4.85
	2'	78.06	3.55
20	3'	82.42	3.33
	4'	72.78	3.41
	5'	69.80	3.53
	6'	18.26	1.19
25	2'-OCH <sub>3</sub>	59.02	3.42
	3'-OCH <sub>3</sub>	57.39	3.39
	1"	104.20	4.46
	2"	32.02	1.94/1.38
30	3"	18.93	1.81/1.48
	4"	66.10	2.11
	5"	74.17	2.56
	6"	19.44	1.20
	N(CH <sub>3</sub> ) <sub>2</sub>	41.02	2.21

\* Some assignments are from  $^1\text{H}/^{13}\text{C}$  correlations.

A835430 has the following characteristics:

Molecular weight: 731

Empirical formula: C<sub>41</sub>H<sub>65</sub>NO<sub>10</sub>

UV (EtOH): 243 nm ( $\epsilon=9,267$ )

5

FD (M<sup>+</sup>) m/z 731

IR (KBr): see Figure 3.

Table II summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear magnetic resonance (NMR) spectral data for A834540 (in acetone-d<sub>6</sub>) as shown in Figure 4.

10  
15  
Table II. <sup>1</sup>H and <sup>13</sup>C NMR data of  
A835430 in acetone-d<sub>6</sub>

	<u>Position</u>	<sup>13</sup> C	<sup>1</sup> H*
	1	172.60	--
	2	34.29	3.08/2.42
20	3	48.88	2.91
	4	42.71	3.45
	5	123.26	5.55
	6	137.16	--
	7	45.32	2.19
25	8	35.52	2.02/1.45
	9	76.80	4.64
	10	38.59	2.37/1.41
	11	46.92	1.03
	12	49.94	2.78
30	13	148.46	7.03
	14	145.07	--
	15	203.09	--

\* Values were taken from a heteronuclear one bond 2D correlation spectrum.

Table II. Continued

	Position	<sup>13</sup> C	<sup>1</sup> H*
5	16	48.39	3.30
	17	80.88	3.55
	18	35.00	1.50
	19	22.49	1.80/1.17
10	20	30.84	1.50
	21	76.50	4.34
	22	29.08	1.48
	23	9.54	0.80
15	24	16.26	1.13
	6-CH <sub>3</sub>	20.85	1.73
	1'	97.21	4.87
	2'	77.80	3.56
20	3'	82.23	3.33
	4'	72.54	3.41
	5'	69.61	3.55
	6'	18.21	1.19
25	2'-OCH <sub>3</sub>	58.96	3.41
	3'-OCH <sub>3</sub>	57.31	3.39
	1"	104.02	4.46
	2"	31.85	1.94/1.39
30	3"	18.74	1.82/1.52
	4"	65.90	2.12
	5"	73.90	3.57
	6"	19.39	1.20
	N(CH <sub>3</sub> ) <sub>2</sub>	40.92	2.20

\* Values were taken from a heteronuclear one bond 2D correlation spectrum.

A83543P has the following characteristics:

Molecular weight: 703  
 Empirical formula: C<sub>39</sub>H<sub>61</sub>NO<sub>10</sub>  
 UV (EtOH): 243 nm ( $\epsilon = 13,760$ )  
 5 MS (FAB): (M+H) m/z 704  
 IR (KBr): see Figure 5.

Table III summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear magnetic resonance (NMR) spectral data for A83454P (in acetone-d<sub>6</sub>) as shown in Figure 6.

Table III. <sup>1</sup>H and <sup>13</sup>C NMR data of A83543P in acetone-d<sub>6</sub>

	Position	<sup>13</sup> C	<sup>1</sup> H*
15	1	172.62	-
	2	34.43	3.06/2.44
	3	48.74	2.94
20	4	42.26	3.50
	5	129.70	5.86
	6	130.30	5.89
	7	42.06	2.14
25	8	37.15	1.97/1.34
	9	76.84	4.34
	10	38.28	2.36/1.36
	11	47.05	0.92
	12	50.37	2.86
30	13	148.43	7.03
	14	144.85	-
	15	203.09	-

\* Values were taken from a heteronuclear one bond 2D correlation spectrum.

Table III. Continued

	Position	<sup>13</sup> C	<sup>1</sup> H*
5	16	48.35	3.31
	17	80.96	3.55
	18	35.06	1.50
	19	22.44	1.78/1.16
10	20	30.91	1.55
	21	76.84	4.64
	22	29.11	1.47
	23	9.55	0.80
15	24	16.29	1.11
	1'	96.68	4.86
	2'	82.07	3.33
	3'	72.41	3.63
20	4'	74.27	3.30
	5'	69.45	3.53
	6'	18.17	1.19
	2'-OCH <sub>3</sub>	59.12	3.41
25	1"	104.08	4.45
	2"	31.89	1.92/1.37
	3"	18.72	1.81/1.52
	4"	65.97	2.11
30	5"	74.03	3.56
	6"	19.39	1.19
	N(CH <sub>3</sub> ) <sub>2</sub>	40.95	2.20

\* Values were taken from a heteronuclear one bond 2D correlation spectrum.

A83543U:

A83543U has the following characteristics:

Molecular weight: 703

5

Empirical formula: C<sub>39</sub>H<sub>61</sub>NO<sub>10</sub>

UV (EtOH): 242 nm ( $\epsilon = 17,095$ )

MS (FAB): (M+H) m/z 704

IR (KBr): see Figure 7

Table IV summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear  
10 magnetic resonance (NMR) spectral data for A83454U (in  
acetone-d<sub>6</sub>) as shown in Figure 8.

15

20

25

30

Table IV.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data of  
A83543U in acetone-d<sub>6</sub>

Position	$^{13}\text{C}$	$^1\text{H}^*$
5	1 172.68	-
	2 34.53	3.10/2.49
	3 48.41	2.97
	4 42.15	3.54
	5 129.77	5.91
10	6 130.39	5.93
	7 42.37	2.19
	8 37.16	2.00/1.41
	9 76.81**	4.38
	10 38.82	2.41/1.42
15	11 47.13	0.97
	12 50.46	2.91
	13 148.37	7.09
	14 144.96	-
	15 203.10	-

\* Values were taken from 1D or inverse  
2D one bond correlation spectrum.

\*\* Assignments may be reversed.

25

30

Table IV. Continued

	Position	<sup>13</sup> C	<sup>1</sup> H*
5	16	48.63	3.35
	17	81.15	3.57
	18	35.15	1.57/1.51
	19	22.45	1.82/1.21
	20	31.06	1.58/1.49
10	21	76.85**	4.69
	22	29.04	1.54/1.50
	23	9.57	0.83
	24	16.39	1.16
	1'	99.92	4.80
15	2'	68.34	3.97
	3'	82.36	3.29
	4'	72.45	3.48
	5'	69.42	3.62
	6'	18.21	1.24
20	3'-OCH <sub>3</sub>	57.06	3.42
	1"	104.16	4.49
	2"	31.98	1.97/1.42
	3"	18.67	1.86/1.55
	4"	66.06	2.14
25	5"	74.13	3.60
	6"	19.43	1.24
	N(CH <sub>3</sub> ) <sub>2</sub>	41.01	2.24

\* Values were taken from 1D or inverse  
2D one bond correlation spectrum.

30

\*\* Assignments may be reversed.

A83543V:

A83543V has the following characteristics:

Molecular weight: 717

Empirical formula: C<sub>40</sub>H<sub>63</sub>NO<sub>10</sub>

UV (EtOH): 242 nm ( $\epsilon = 10,140$ )

MS (FAB): (M+H) m/z 718

IR (KBr): see Figure 9.

Table V summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear magnetic resonance (NMR) spectral data for A83454V (in acetone-d<sub>6</sub>) as shown in Figure 10.

Table V. <sup>1</sup>H and <sup>13</sup>C NMR data of A83543V  
in acetone-d<sub>6</sub>

	Position	<sup>13</sup> C*	<sup>1</sup> H*
	1	172.63	-
	2	34.34	3.10/2.45
20	3	48.88	2.88
	4	42.63	3.49
	5	123.20	5.57
	6	137.25	-
	6-CH <sub>3</sub>	20.77	1.76
	7	45.23	2.21
25	8	35.44	2.02/1.45
	9	76.22	4.36
	10	38.63	2.40/1.41
	11	46.89	1.07
	12	49.92	2.80
30	13	148.54	7.08
	14	145.10	-
	15	203.11	-

\* Values were taken from 1D and 2D inverse experiments

Table V. Continued

	Position	$^{13}\text{C}^*$	$^1\text{H}^*$
5	16	48.46	3.34
	17	80.80	3.56
	18	35.12	1.54/1.50
	19	22.50	1.82/1.21
10	20	30.81	1.56/1.51
	21	76.71	4.67
	22	29.01	1.51
	23	9.38	0.82
15	24	16.17	1.14
	1'	99.88	4.67
	2'	69.03	3.69
	3'	82.19	3.28
20	4'	72.21	3.46
	5'	68.18	3.61
	6'	18.05	1.22
	3'-OCH <sub>3</sub>	56.98	3.41
25	1"	104.14	4.49
	2"	31.91	1.95/1.41
	3"	18.62	1.84/1.54
	4"	65.92	2.14
30	5"	73.92	3.59
	6"	19.31	1.22
	N(CH <sub>3</sub> ) <sub>2</sub>	40.78	2.23

\* Values were taken from 1D and 2D  
inverse experiments

A83543W:

A83543W has the following characteristics:

Molecular weight: 717

Empirical formula: C<sub>40</sub>H<sub>63</sub>NO<sub>10</sub>

5 UV (EtOH): 244 nm ( $\epsilon = 10,254$ )

MS (FAB): (M+H) m/z 718

IR (KBr): see Figure 11

Table VI summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear  
10 magnetic resonance (NMR) spectral data for A83543W (in  
acetone-d<sub>6</sub>) as shown in Figure 12.

15

20

25

30

Table VI.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data of  
A83543W in acetone-d<sub>6</sub>

	Position	$^{13}\text{C}^*$	$^1\text{H}^*$
5	1	172.62	-
	2	34.46	3.08/2.44
	3	49.00	2.90
	4	42.69	3.46
	5	123.52	5.55
10	6	137.25	-
	6-CH <sub>3</sub>	20.93	1.76
	7	45.58	2.21
	8	35.83	2.04/1.46
	9	76.67	4.35
15	10	38.81	2.39/1.41
	11	47.27	1.04
	12	50.09	2.80
	13	146.41	7.04
	14	145.15	-
20	15	203.11	-

\* Values were taken from  $^1\text{H}/^{13}\text{C}$  inverse  
one bond correlation spectra.

25

30

-28-

SUBSTITUTE SHEET (RULE 26)

Table VI. Continued

	Position	$^{13}\text{C}^*$	$^1\text{H}^*$
5	16	48.45	3.32
	17	81.05	3.56
	18	35.19	1.51
	19	22.62	1.81/1.19
10	20	31.08	1.51
	21	76.94	4.66
	22	29.31	1.49
	23	9.58	0.80
15	24	16.26	1.11
	1'	96.94	4.88
	2'	82.42	3.34
	3'	72.56	3.64
20	4'	74.48	3.32
	5'	69.54	3.56
	6'	18.27	1.20
	2'-OCH <sub>3</sub>	59.13	3.43
25	1"	104.34	4.47
	2"	32.13	1.96/1.40
	3"	18.84	1.83/1.54
	4"	66.26	2.12
	5"	74.20	3.59
	6"	19.56	1.21
30	N(CH <sub>3</sub> ) <sub>2</sub>	41.15	2.22

\* Values taken from  $^1\text{H}/^{13}\text{C}$  inverse one bond correlation spectra.

A83543Y has the following characteristics:

Molecular weight: 703

Empirical formula: C<sub>39</sub>H<sub>61</sub>NO<sub>10</sub>

UV (EtOH): 243 nm ( $\epsilon = 14,042$ )

5

MS (FAB): (M+H) m/z 704

IR (KBr): see Figure 11

Table VII summarizes the <sup>1</sup>H and <sup>13</sup>C nuclear magnetic resonance (NMR) spectral data for A83543Y (in acetone-d<sub>6</sub>) as shown in Figure 12.

15

20

25

30

-30-

SUBSTITUTE SHEET (RULE 26)

Table VII.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data of  
A83543Y in acetone-d<sub>6</sub>

	Position	$^{13}\text{C}^*$	$^1\text{H}^*$
5	1	172.42	-
	2	34.86	3.07/2.42
	3	48.80	2.96
	4	42.04**	3.44
10	5	129.68	5.87
	6	130.32	5.91
	7	42.00**	2.16
	8	37.08	1.98/1.38
15	9	76.86	4.35
	10	38.26	2.38/1/39
	11	47.07	0.94
	12	50.30	2.87
20	13	148.45	7.06
	14	144.72	-
	15	203.06	-

\* Data obtained from 1D, inverse heteronuclear correlation, homonuclear decoupling and COSY experiments.

25            \*\* Assignments may be reversed.

30

Table VII. Continued

	Position	<sup>13</sup> C*	<sup>1</sup> H*
5	16	47.97	3.35
	17	81.23	3.56
	18	34.86	1.61/1.52
	19	22.22	1.78/1.19
10	20	33.56	1.54/1.47
	21	72.97	4.69
	22	21.58	1.12
	23	-	-
15	24	16.42	1.13
	1'	97.24	4.85
	2'	77.81	3.55
	3'	82.25	3.31
	4'	72.61	3.41
	5'	69.64	3.55
	6'	18.21	1.19
20	2'-OCH <sub>3</sub>	58.94	3.41
	3'-OCH <sub>3</sub>	57.28	3.40
	1"	104.16	4.47
	2"	31.90	1.94/1.41
	3"	18.71	1.82/1.53
	4"	65.94	2.12
25	5"	74.02	3.57
	6"	19.37	1.21
	N(CH <sub>3</sub> ) <sub>2</sub>	40.93	2.22

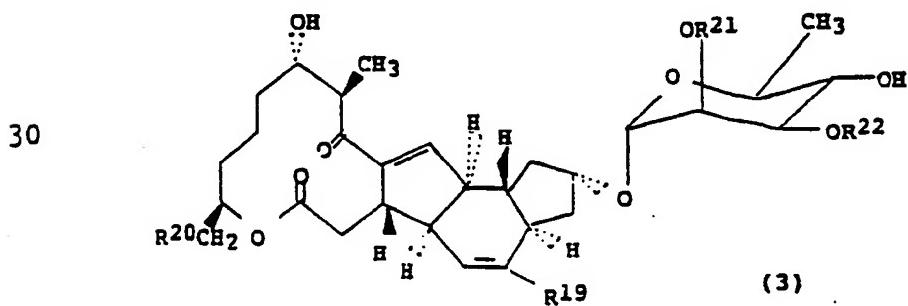
\* Data obtained from 1D, inverse heteronuclear correlation, homonuclear decoupling and COSY experiments.

\*\* Assignments may be reversed

Components A83543K, A83543O, A83543P, A83543U,  
 A83543V, A83543W and A83543Y are structurally distinct  
 from previously described compounds. The present  
 compounds possess neutral sugars which have not been  
 previously described: components A83543K, A83543O and  
 5 A83543Y have a neutral sugar identified as  $\alpha$ -2,3-di-O-  
 methylrhamnose; components A83543P and A83543W have a  
 neutral sugar identified as 2-O-methylrhamnose;  
 components A83543U and A83543V have a neutral sugar  
 10 identified as 3-O-methylrhamnose.

The amino sugar can be selectively removed from  
 the new A83543 components to give new A83543  
 pseudoaglycones, termed Formula 3 compounds. These  
 15 compounds are a further aspect of the present invention  
 and are the compounds of Formula 1 wherein R<sup>1</sup> is  
 hydrogen.

The selective removal of the amino sugar from  
 20 A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and  
 A83543Y produces A83543K pseudoaglycone, A83543O  
 pseudoaglycone, A83543P pseudoaglycone, A83543U  
 pseudoaglycone, A83543V pseudoaglycone, A83543W  
 pseudoaglycone, and A83543Y pseudoaglycone respectively.  
 25 These compounds are shown in the following formula:



<u>Compound</u>	<u>R<sup>19</sup></u>	<u>R<sup>20</sup></u>	<u>R<sup>21</sup></u>	<u>R<sup>22</sup></u>
A83543K pseudoaglycone	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
A83543O pseudoaglycone	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
A83543P pseudoaglycone	H	CH <sub>3</sub>	CH <sub>3</sub>	H
A83543U pseudoaglycone	H	CH <sub>3</sub>	H	CH <sub>3</sub>
5 A83543V pseudoaglycone	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
A83543W pseudoaglycone	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
A83543Y pseudoaglycone	H	H	CH <sub>3</sub>	CH <sub>3</sub>

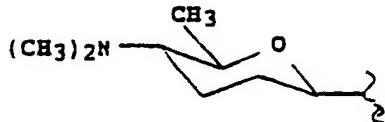
The Formula 2 compounds are used to prepare the  
 10 Formula 3 compounds by the reaction of a Formula 2  
 compound with acid to remove the amino sugar. Suitable  
 acids include hydrochloric and sulfuric, the preferred  
 acid for the transformation is sulfuric. The reaction  
 is preferably carried out in a polar organic solvent, a  
 15 mixture of a polar organic solvent and water, or water.  
 Suitable organic solvents include methanol, THF,  
 acetonitrile and dioxane. The preferred solvents for  
 the transformation are a mixture of methanol and water  
 or water. The reaction may be carried out at a  
 20 temperature from about 25°C to about 95°C, preferably at  
 80°C.

The pseudoaglycones are useful as starting  
 25 materials for the preparation of new A83543 compounds,  
 for example, the pseudoaglycone may be glycosylated at  
 the hydroxyl group where the amino sugar was present.  
 This glycosylation may be carried out by chemical  
 synthesis or by microbial bioconversion.

30 Another aspect of the present invention is the  
 chemical demethylation of certain Formula 1 compounds.  
 The Formula 1 compounds may be grouped into 3 subgroups:  
 1A, 1B and 1C. The Formula 1A

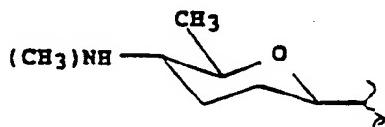
compounds are the Formula 1 compounds wherein R<sup>7</sup> is a group of formula:

5



The Formula 1B compounds are the Formula 1 compounds wherein R<sup>7</sup> is a group of formula:

10

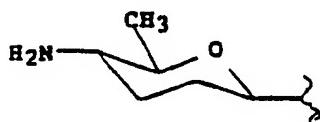


15

The Formula 1C compounds are the Formula 1

compounds wherein R<sup>7</sup> is a group of formula:

20



25

As described herein, the Formula 1B compounds may be prepared from the Formula 1A compounds.

Similarly, the Formula 1C compounds may be prepared from the Formula 1B compounds. These compounds may be prepared by chemical demethylation of a corresponding new A83543 component. Each of these sub-groups is also a subset of the Formula 2 compounds.

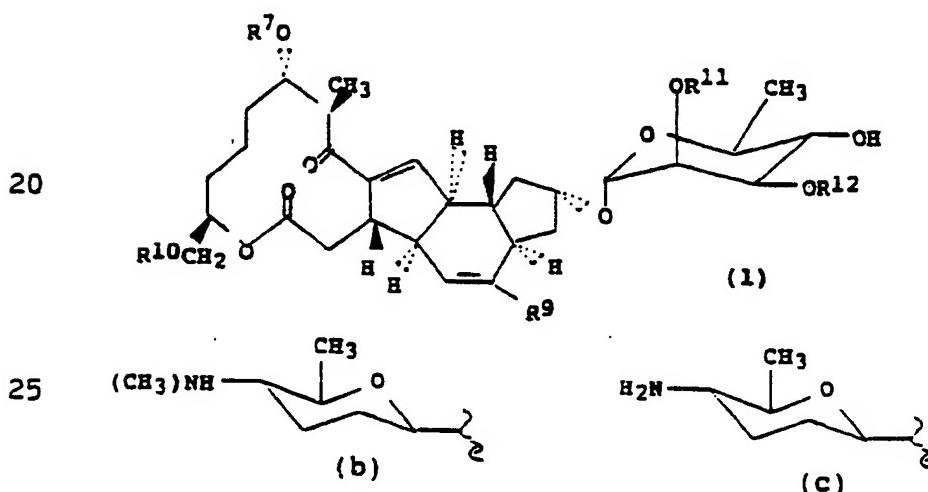
30

The N-demethyl derivatives, the Formula 1B compounds, are prepared by the reaction of a Formula 1A compound with iodine and sodium acetate. The reaction is carried out in a polar organic solvent, such as methanol, or a mixture of polar organic solvent and water, such as aqueous methanol.

The reaction is maintained at pH9, for example, by using a pH9 buffer. The reaction is preferably carried out at a temperature from about 30°C to about 70°C for about 2 to about 6 hours.

5 The di-N-demethyl derivatives, the Formula 1C compounds, may be prepared by the reaction of a Formula 1B compound with sodium methoxide/iodine. The reaction is preferably carried out in a polar organic solvent, such as methanol. Further, the reaction is carried out at a temperature from about 10°C to about 15°C, preferably between 0°C to 5°C. The reaction times vary from about 4 hours to about 10 hours.

10 15 Illustrative examples of the Formula 1B and 1C compounds are shown in the following formula:



30 wherein R<sup>7</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup> and R<sup>12</sup> are for each compound as follows:

<u>Compound</u>	<u>R<sup>7</sup></u>	<u>R<sup>9</sup></u>	<u>R<sup>10</sup></u>	<u>R<sup>11</sup></u>	<u>R<sup>12</sup></u>
N-demethyl-A83543K	(b)	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
di-N-demethyl-A83543K	(c)	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
N-demethyl-A83543O	(b)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
5 di-N-demethyl-A83543O	(c)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
N-demethyl-A83543P	(b)	H	CH <sub>3</sub>	CH <sub>3</sub>	H
di-N-demethyl-A83543P	(c)	H	CH <sub>3</sub>	CH <sub>3</sub>	H
N-demethyl-A83543U	(b)	H	CH <sub>3</sub>	H	CH <sub>3</sub>
di-N-demethyl-A83543U	(c)	H	CH <sub>3</sub>	H	CH <sub>3</sub>
10 N-demethyl-A83543V	(b)	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
di-N-demethyl-A83543V	(c)	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
N-demethyl-A83543W	(b)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
di-N-demethyl-A83543W	(c)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
15 N-demethyl-A83543Y	(b)	H	H	CH <sub>3</sub>	CH <sub>3</sub>
di-N-demethyl-A83543Y	(c)	H	H	CH <sub>3</sub>	CH <sub>3</sub>

The Formula 2 compounds, which are the Formula 1 compounds wherein R<sup>7</sup> is other than hydrogen, can react 20 to form various salts, which are also a part of this invention. These salts are useful, for example, in separating and purifying the Formula 2 compounds. In addition, some of the salt forms may have increased water solubility. These salts are prepared using 25 standard procedures for salt preparation. For example, A83543K can be neutralized with an appropriate acid to form an acid addition salt.

The acid addition salts are particularly 30 useful. Representative suitable salts include those salts formed by standard reactions with both organic and inorganic acids such as, for example, sulfuric, hydrochloric, phosphoric, acetic, succinic, citric, lactic, maelic, fumaric, cholic, pamoic, mucic, glutamic, camphoric, glutaric, glycolic, phthalic,

tartaric, formic, lauric, stearic, salicyclic, methanesulfonic, benzenesulfonic, sorbic, picric, benzoic, cinnamic, and like acids.

For convenience in the discussions which  
5 follow, A83543A-producing strains have been given the  
following designations: A83543.1, A83543.3, A83543.4,  
and A83543.5. Also, a new A83543K-producing strain has  
been given the designation A83543.8. Cultures A83543.1,  
10 A83543.3, A83543.4, A83543.5, A83543.6, A83543.7,  
A83543.8 and A83543.9 have been deposited and made a  
part of the stock culture collection of the Midwest Area  
Regional Research Center, Agricultural Research Service,  
United States Department of Agriculture, from which they  
15 are available to the public under the following  
accession numbers:

20

25

30

-38-

## SUBSTITUTE SHEET (RULE 26)

<u>NRRL No.</u>	<u>Strain No.</u>	
18395	A83543.1	
18537	A83543.3	
18538	A83543.4	
5	18539	A83543.5
	18719	A83543.6
	18720	A83543.7
	18743	A83543.8
	18823	A83543.9

10           Culture A83543.1 was obtained by chemical mutation of culture A83543, which was isolated from a soil sample collected in the Virgin Islands. Mertz and Yao (1990), Int'l J. of Systematic Bacteriology, 40:34.  
 15           Culture 83543.4 was derived from culture A83543.1. Each of the strains A83543.3, A83543.4, A83543.5, A83543.6, and A83543.7 was derived from A83543.1 by chemically-induced mutagenesis with N-methyl-N'-nitro-N-nitrosoguanidine. Strains A83543.8 and A83543.9 were  
 20          derived from A83453.4 by chemically-induced mutagenesis with N-methyl-N'-nitro-N-nitrosoguanidine. Except for differences in the production of the A83543 components, these isolates appear the same as the parent culture.

25          Cultural Characteristics

          Cultures A83543.1, A83543.3, A83543.4, A83543.5, A83543.6, A83543.7, A83543.8 and A83543.9 were grown on twelve agar plating media and compared for  
 30          growth, reverse color, aerial hyphae production, spore mass color, and soluble pigment production. No significant differences were observed on any of the media used. The cultures grew well on both complex and defined media. Aerial hyphae were produced on most of the media used. The aerial spore mass color was

predominantly white, and the reverse side was yellow to yellow-brown. No distinctive pigmentation was present; however, a soluble brown pigment was released into some media. The cultural characteristics of A83543.3, A83543.4, A83543.5, A83543.6, A83543.7, A83543.8, and A83543.9 are similar to the original taxonomic description of A83543.1 (see Mertz and Yao (1990), *supra*).

10           Morphological Characteristics

Well-formed aerial hyphae, which were segmented into long chains of spores arranged as hooks and open loops, were present on most of the media. Spirals were also observed, but they were short and incomplete. The general morphology was *rectus-flexibilis*. Aerial hyphae of each of the strains had a distinctive bead-like appearance, with many empty spaces in the spore chain. This feature demonstrated that a spore sheath encased the spore chain, which is a distinctive feature of the genus *Saccharopolyspora*. Except for differences in the production of the A83543 components, these isolates appear similar to the parent culture.

25           Physiological Characteristics

Fatty acid analyses from each of the strains were compared. Cells were grown for 96 hours at 28°C in trypticase soy broth (Difco Laboratories, Detroit, MI). Fatty acid methyl esters were analyzed by gas-liquid chromatography with a model 5898A computer-controlled gas-liquid chromatography system (Hewlett-Packard Co., Palo Alto, CA) (see Miller and Berger, "Bacterial Identification by Gas Chromatography of Whole Cell Fatty

Acids," Hewlett-Packard Application Note 228-41. These results are presented in Table VIII).

5

10

O

15

20

25

O

30

Table VIII

<u>Fatty Acid</u>	<u>A83543.1</u>	<u>A83543.3</u>	<u>A83543.4</u>	<u>A83543.5</u>	<u>A83543.6</u>	<u>A83543.7</u>	<u>A83543.8</u>	<u>A83543.9</u>
15:0 ISO	15.95	22.47		16.49	17.00	19.76		17.42
16:0 ISO	28.71	22.00		25.76	27.39	23.14		24.34
16:1 Cis 9	--	1.35	--	--	--	0.90		0.92
15:0 ISO 2OH	2.67	2.02		3.87	3.95	2.44		1.78
16:0	1.20	0.69		0.63	0.60	0.47		0.36
17:1 ISO F1	5.52	8.62		7.54	5.51	7.55		8.72
17:0 Iso	13.55	20.67		16.40	13.89	21.15		19.43
17:0 Anteiso	8.39	3.94		4.69	5.18	3.57		5.52
17:1 B	4.14	3.97		4.65	6.68	4.47		4.61
17:1 C	2.52	2.88		4.90	5.53	3.18		3.02
17:0	4.26	1.49		3.13	3.84	2.25		1.67
16:1 2OH	1.87	1.52		1.93	0.92	1.36		2.17
18:1 ISO F	6.55	4.16		5.82	6.00	5.75		5.74
18:1 Cis 9	0.34	1.03		0.64	0.63	0.96		0.84

<sup>1</sup>F, B and C indicate double bond positions or configurations that are unknown.

Principal-component analysis is a branch of multivariate statistics that deals with internal relationships of a set of variables. In this analysis, the greatest amount of variance within the original data or test results is expressed as principal components  
5 (see Alderson, "The Application and Relevance of Nonheirarchic Methods in Bacterial Taxonomy", in Computer-Assisted Bacterial Systematics 227 (1985)). A plot showing scatter or variability can be constructed.  
10 Relationships can be evaluated by examining the variance, and a microbial population characterized. A two-dimensional principal component plot from the fatty acid analyses of strains A83543.1, A83543.3, A83543.4, A83543.5, A83543.6, A83543.7, A83543.8 and A83543.9 is  
15 shown in Figure 13. The values refer to the degrees of separation between the strains involved. The differences between the strains are not taxonomically significant.

20 As is the case with other organisms, the characteristics of the A83543-producing strains are subject to variation. Thus, mutants of these strains may be obtained by physical and chemical methods known in the art. For example, other strains may be obtained  
25 by treatment with chemicals such as N-methyl-N'-nitro-N-nitrosoguanidine. Natural and induced mutants of the *S. spinosa* NRRL 18395, NRRL 18537, NRRL 18538, NRRL 18539 NRRL 18719, NRRL 18720, NRRL 18743 and NRRL 18823  
30 strains, which retain the characteristic of producing recoverable amounts of a Formula 1 compound, when cultured in appropriate conditions are applicable in the present invention.

One aspect of the present invention is the production of a compound of Formula 1 produced by

culturing an A83543A-producing strain of *S. spinosa* in a suitable culture medium containing sinefungin, selected from the group consisting of NRRL 18395, NRRL 18537, NRRL 18538, and NRRL 18539 or an A83543A-producing mutant thereof. An "A83543A-producing mutant" is a strain derived from any one of the A83543A-producing strains of *S. spinosa*, NRRL 18395, NRRL 18537, NRRL 18538, NRRL 18539, which is capable of producing recoverable amounts of A83543A and which is capable, when cultured in a suitable culture medium containing sinefungin, to produce concomitant amounts of A83543K and A83543O.

Another aspect of the present invention is the production of a compound of Formula 1 by culturing an A83543H-producing strain of *S. spinosa*, such as NRRL 18823 or an A83543H-producing mutant thereof, in a suitable culture medium containing sinefungin. An "A83543H-producing mutant" is a strain derived from any one of the A83543H-producing strains of *S. spinosa*, NRRL 18823, which is capable of producing recoverable amounts of A83543H and which is capable, when cultured in a suitable culture medium containing sinefungin, to produce concomitant amounts of A83543U and A83543V.

A still further aspect of this invention is the production of a compound of Formula 1 by culturing an A83543J-producing strain of *S. spinosa*, such as NRRL 18719 or an A83543J-producing mutant thereof, in a suitable culture medium containing sinefungin. An "A83543J-producing mutant" is a strain derived from any one of the A83543J-producing strains of *S. spinosa*, NRRL 18719 or NRRL 18720, which is capable of producing recoverable amounts of A83543J and which is capable, when cultured

in a suitable culture medium containing from about 50 mg/ML to about 200 mg/ML of sinefungin, to produce concomitant amounts of A83543P and A83543W.

Typically, sinefungin is added to the production medium after 48-72 hours or for large scale 5 production, the addition of sinefungin is postponed until the culture begins to grow as indicated by the uptake of oxygen. Preferably, sinefungin is added to the fermentation medium about 48 hours to about 72 hours after inoculation. Sinefungin may be added as a solid 10 or as a solution. For convenience, when sinefungin is added to a large scale fermentation, addition as an alcoholic solution is preferred. Such a solution is prepared by dissolving sinefungin in a sufficient volume 15 of methyl alcohol, then sterilizing the solution by filtration through a 0.45 $\mu$  filter.

Alternatively, the Formula 1 compounds are produced by culturing *S. spinosa* strain NRRL 18743 (which 20 produces components A83543K, A83543O and A83543Y), or an A83543K-producing mutant thereof, in a suitable culture medium without the addition of sinefungin. An "A83543K-producing mutant" is a strain derived from *S. spinosa* NRRL 18743 which is capable of producing recoverable amounts 25 of A83543K.

After production, the Formula 1 compound may be separated from the culture medium using various isolation and purification procedures which are well 30 understood in the art. For economy in production, optimal yield, and ease of product isolation, certain culture media are preferred. For example, preferred carbon sources in large-scale fermentation are glucose and methyl oleate, although ribose, xylose, fructose, galactose, mannose, mannitol, soluble starch, potato

dextrin, oils such as soybean oil and the like can also be used. Preferred nitrogen sources are cottonseed flour, peptonized milk and corn steep liquor, although fish meal, digested soybean meal, yeast extract, enzyme-hydrolyzed casein, beef extract, and the like can also be used. Among the nutrient inorganic salts which can be incorporated in the culture media are the customary soluble salts capable of yielding zinc, sodium, magnesium, calcium, ammonium, chloride, carbonate, sulfate, nitrate and like ions. Essential trace elements necessary for the growth and development of the organism should also be included in the culture medium. Such trace elements commonly occur as impurities in other constituents of the medium in amounts sufficient to meet the growth requirements of the organism.

Usually, if foaming is a problem, small amounts (i.e., 0.2 ml/L) of an antifoam agent such as polypropylene glycol may be added to large-scale 20 fermentation media. In the case of the A83543-producing cultures, however, conventional defoamers inhibit A83543 production. Foaming can be controlled by including soybean oil or PLURONIC L-101 (BASF, Parsippany, NJ) in the medium (1-3%). Additional oil may be added if 25 foaming develops.

For production of substantial quantities of a Formula 1 compound, submerged aerobic fermentation in stirred bioreactors is preferred; however, small 30 quantities of a Formula 1 compound may be obtained by shake-flask culture. Because of the time lag in production commonly associated with inoculation of large bioreactors with the spore form of the organism, it is preferable to use a vegetative inoculum. The vegetative inoculum is prepared by inoculating a small volume of

culture medium from a stock culture preserved in liquid nitrogen to obtain a fresh, actively growing culture of the organism. The vegetative inoculum is then transferred to a larger bioreactor. The vegetative inoculum medium can be the same as that used for larger fermentations, but other media are also suitable.

The Formula 1 compound is produced by the A83543-producing strains when grown at temperatures between about 24°C and about 33°C. Optimum temperatures for production appear to be about 28-30°C.

As is customary in submerged aerobic culture processes, sterile air is blown into the vessel from the bottom while the medium is stirred with conventional turbine impellers. In general, the aeration rate and agitation rate should be sufficient to maintain the level of dissolved oxygen at or above 80%, with an internal vessel pressure of about 0.34 atmospheres.

Production of the Formula 1 compound can be followed during the fermentation by testing extracts of the broth. A preferred method for following the production is analysis of the broth extracts by high performance liquid chromatography (HPLC). A suitable system for analysis is described in Example 1.

Following the production in shake flasks or in stirred reactors, the Formula 1 compound can be recovered from the fermentation medium by methods used in the art. The compounds produced during fermentation of the A83543-producing strain occur in both the mycelia and the broth. The Formula 1 compounds are lipophilic; when a substantial amount of oil is used in the fermentation, whole broth extraction is more efficient.

If only small amounts of oil are used, the major portion of the Formula 1 compound is present in the mycelia. In that case, more efficient recovery of the Formula 1 compound is accomplished by initially filtering the medium to separate the broth from the mycelial mass (the biomass).  
5

The Formula 1 compound can be recovered from the biomass by a variety of techniques. A suitable technique involves washing the separated biomass with water to remove remaining broth, mixing the biomass with a polar solvent in which the Formula 1 compound is soluble, e.g., methanol or acetone, separating and concentrating the solvent, extracting the concentrate with a non-polar solvent and/or adsorbing it onto a reverse-phase silica gel adsorbent, such as reverse phase C<sub>8</sub> or C<sub>18</sub> resin, or a high porous polymer such as HP-20 or HP-20ss (Mitsubishi Chemical Industries Co., Ltd., Japan). The active material is eluted from the adsorbent with a suitable solvent such as, for example, H<sub>2</sub>O:acetonitrile:methanol mixtures, optionally containing small amounts of THF.  
10  
15  
20

A preferred technique for isolating the Formula 1 compound from the biomass involves adding an equal volume of acetone to the whole broth, filtering the mixture in a ceramic filter to remove the biomass, and extracting the filtrate with ethyl acetate. The ethyl acetate extract is concentrated *in vacuo* to remove the acetone, and the aqueous layer is separated from the organic layer. The ethyl acetate solution is further concentrated *in vacuo*, and the concentrate is extracted with dilute aqueous acid (pH 3). The Formula 1 compound  
25  
30

may be further purified by chromatography as described herein.

A more preferred technique for isolating the Formula 1 compound from the biomass involves adding an equal volume of acetone to the whole broth, filtering the mixture in a ceramic filter to remove the biomass, and adjusting the pH of the filtrate to about pH 9 to about pH 13. This solution is applied to HP-20ss (Mitsubishi Chemical Industries Co., Ltd., Japan) and the column washed with a mixture of methanol, acetonitrile, and water (1:1:2). The Formula 1 compound is eluted with a 95:5 mixture of methanol/acetonitrile (1:1) containing 0.1% ammonium acetate (pH 8.1). The fractions containing the Formula 1 compounds are combined and lyophilized. The Formula 1 compound may be further purified by chromatography as described herein.

Alternatively, the culture solids, including medium constituents and mycelium, can be used without extraction or separation, but preferably after removal of water, as a source of the Formula 1 compound. For example, after production of the Formula 1 compound, the whole fermentation broth can be dried by lyophilization, by drum-drying, or by azeotropic distillation and drying. The dried broth can then be used directly, for example, by mixing it directly into feed premix or into formulations for sprays and powders.

### Insecticide and Miticide Activity

The Formula 2 compounds are useful for the control of insects and mites. Therefore, a further aspect of the present invention is directed to methods 5 for inhibiting an insect or mite which comprises applying to the locus of the mite or insect an insect- or mite-inhibiting amount of a Formula 2 compound.

The "locus" of the insect or mite refers to the 10 environment in which the insect or mite lives or where its eggs are present, including the air surrounding it, the food it eats, or objects which it contacts. For example, plant-ingesting insects or mites can be controlled by applying the active compound to plant 15 parts which the insects or mites eat or inhabit, particularly the foliage.

The term "inhibiting an insect or mite" refers 20 to a decrease in the number of living insects or mites or to a decrease in the number of viable insect or mite eggs. The extent of reduction accomplished by a compound depends, of course, upon the application rate 25 of the compound, the particular compound used, and the target insect or mite species. At least an insect- inactivating or mite-inactivating amount should be used.

The terms "insect-inactivating amount" and "mite-inactivating amount" are used to describe the 30 amount which is sufficient to cause a measurable reduction in the treated insect or mite population. Generally, an amount in the range from about 1 to about 1,000 ppm (or 0.01 to 1 kg/a) of active compound is used.

The Formula 2 compounds show activity against a number of insects and mites. More specifically, the compounds show activity against beet armyworm and tobacco budworm, which are members of the insect order *Lepidoptera*. Other typical members of this order are 5 southern armyworm, codling moth, cutworms, clothes moths, Indian meal moth, leaf rollers, corn ear worm, cotton bollworm, European corn borer, imported cabbage worm, cabbage looper, pink bollworm, bagworms, Eastern tent caterpillar, sod webworm, and fall armyworm.

10  
15 The Formula 2 compounds also show activity against leaf hoppers, which is a member of the insect order *Homoptera*. Other members of this order include cotton aphid, plant hoppers, pear psylla, apple sucker, scale insects, whiteflies, and spittle bugs, as well as a number of other host-specific aphid species.

20 In addition, the Formula 2 compounds show activity against stable flies, blowflies, and mosquitoes, which are members of the insect order *Diptera*. Another typical member of this order is the common house fly.

25 The Formula 2 compounds also show activity against two-spotted spider mites, which is a member of the insect order *Acarina*. Other typical members of this order include mange mite, scab mite, sheep scab mite, chicken mite, scalyleg mite, depluming mite, and dog 30 follicle mite.

The Formula 2 compounds are useful for reducing populations of insects and mites and are used in a method of inhibiting an insect or mite population which comprises applying to a locus of the insect or mite an

effective insect- or mite-inactivating amount of a Formula 2 compound. In one preferred embodiment, the present invention is directed to a method for inhibiting a susceptible insect of the order *Lepidoptera* which comprises applying to a plant an effective insect-inactivating amount of a Formula 2 compound in accordance with the present invention. Another preferred embodiment of the invention is directed to a method of inhibiting biting flies of the order *Diptera* in animals which comprises administering an effective pest-inhibiting amount of a Formula 2 compound orally, parenterally, or topically to the animal. In another preferred embodiment, the present invention is directed to a method for inhibiting a susceptible insect of the order *Homoptera* which comprises applying to a plant an effective insect-inactivating amount of a Formula 2 compound. Another preferred embodiment of the invention is directed to a method of inhibiting mites of the order *Acarina* which comprises applying to the locus of the mite a mite-inactivating amount of a Formula 2 compound.

#### Mite/Insect Screen

The Formula 2 compounds were tested for miticidal and insecticidal activity in the following 25 mite/insect screen. Each test compound was formulated by dissolving the compound in an acetone-alcohol (1:1) mixture containing 23 g of TOXIMUL R (sulfonate/nonionic emulsifier blend) and 13 g of TOXIMUL S (sulfonate/-nonionic emulsifier blend) per liter. These mixtures 30 were then diluted with water to give the indicated concentrations.

Two-spotted spider mites and cotton aphids were introduced on squash cotyledons and allowed to establish

on both leaf surfaces. The leaves were then sprayed with 5 ml of test solutions using a DeVilbiss atomizing sprayer at 10 psi. Both surfaces of the leaves were covered until run off and then allowed to dry for one hour. After standard exposure periods percent mortality was evaluated. Additional insects were evaluated using similar formulations and evaluation procedures. The results are reported in Table IX. The following abbreviations are used:

10	<u>Abbreviation</u>	<u>Pest</u>	<u>Scientific Name</u>
	ALH	Aster Leafhopper	<i>Macrosteles fascifrons</i>
	BAW	Beet Armyworm	<i>Spodoptera exigua</i>
	CA	Cotton Aphid	<i>Aphis gossypii</i> Glover
15	GECR	German Cockroach	<i>Blattella germanica</i>
	NEM	Rootknot Nematode	<i>Meliodyne spp.</i>
	SCRW	Southern Corn Rootworm	<i>Diabrotica undecimpunctata howardi</i>
	TBW	Tobacco Budworm	<i>Heliothis virescens</i>
20	TSSM	Two-spotted Spider Mite	<i>Tetranychus urticae</i>

25

30

Table IX. Activity of Formula 2 Compounds in Insect/Mite Screen

<u>Pest</u>	<u>rate<sup>a</sup></u>	<u>perc</u>	% Inhibition <sup>b</sup>					
			<u>A83543K</u>	<u>A83543O</u>	<u>A83543P</u>	<u>A83543U</u>	<u>A83543W</u>	<u>A83543Y</u>
ALH	200	24 hr	100	100	0	100	0	60
	400	24 hr	100	100	0	100	0	100
BAW	200	6 day	100	100	100	100	0	80
	400	6 day	100	100	100	100	60	100
CA	200	4-5 day	0	0	0	0	0	0
	400	4-5 day	0	100	0	0	0	0
GECR	200	7 day	0	0	0	0	0	0
	400	7 day	0	0	0	0	0	0
	200	21 day	0	20	20	0	0	0
	400	21 day	80	100	0	0	20	--
NEM	200	11 day	0	0	0	0	0	--
	400	11 day	0	0	0	0	0	60
	200	11 day	0	0	0	0	0	--
	400	11 day	0	0	0	0	0	100
SCRW	200	11 day	0	0	0	0	0	--
	400	11 day	100	0	0	0	0	60
TBW	200	6 day	100	0 (50)	100 (50)	0 (50)	0 (50)	100 (50)
	400	6 day	100	100	100	100	100	100
TSSM	200	4-5 day	90	100 (50)	0	0 (50)	0 (50)	0 (50)
	400	4-5 day	100	100	100	0	80	100

<sup>a</sup> rate in ppm (unless otherwise indicated in parenthesis)<sup>b</sup> % inhibition as a mean of single replicate tests<sup>c</sup> exposure period.

Formula 2 compounds were evaluated in the following assay to determine the LD<sub>50</sub> against neonate tobacco budworm (*Heliothis virescens*). A petri dish (100 mm x 20 mm) is inverted and the lid lined with a #1 qualitative filter paper. Ten neonate larvae are placed in each dish and a 1 ml test solution is pipetted onto the insects. The petri dish bottom is then placed on the lid to contain the larvae. At 1 hour after treatment, a small piece of *Heliothis* diet (modified slurry, Southland Products, Lake Village, AR) is added to each dish. The mortality is evaluated at 24 and 48 hours. The tests were run in triplicate. The results are shown in Table X.

15 Table X. Activity Against Neonate Tobacco Budworm

Compound	LD <sub>50</sub> (ppm) <sup>a</sup>
A83543K	3.5
A83543O	1.4
A83543P	>64
20 A83543U	22
A83543W	>64
A83543Y	20
N-de-methyl-K	9.8

25 <sup>a</sup> mean of two tests

#### Insecticidal Compositions

The Formula 2 compounds of this invention are applied in the form of compositions, which are also a part of this invention. These compositions comprise an insect- or mite-inactivating amount of a Formula 2 compound in a phytologically acceptable inert carrier. The active component, the Formula 2 compound, may be present as a single Formula 2 compound, a mixture of two or more Formula 2 compounds, a mixture of at least one

of A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and A83543Y or a mixture of at least one of A83543K, A83543O, A83543P, A83543U, A83543V, A83543W and A83543Y together with the dried portion of the fermentation medium in which it is produced.

5

Compositions are prepared according to procedures and formula which are conventional in the agricultural chemical art, but which are novel and important because of the presence of one or more of the compounds of this invention. The compositions are either concentrated formulations which are dispersed in water for application or dust or granular formulations which are applied without further treatment.

10

The dispersions in which the compound or crude dried material are applied are most often aqueous suspensions or emulsions prepared from concentrated formulations of the compounds or crude material. Such water-soluble, water-suspendible, or emulsifiable formulations are either solids (usually known as wettable powders) or liquids (usually known as emulsifiable concentrates or aqueous suspensions).

15

Wettable powders, which may be compacted to form water dispersible granules, comprise an intimate mixture of the active compound, an inert carrier, and surfactants. The concentration of the active compound is usually from about 1% to about 90% by weight. The inert carrier is usually chosen from among attapulgite clays, the montmorillonite clays, the diatomaceous earths or the purified silicates.

20

25

Effective surfactants, comprising from about 0.5% to about 10% of the wettable powder are found among

the sulfonated lignins, the condensed naphthalene-sulfonates, the napthalene-sulfonates, the alkylbenzenesulfonates, the alkylsulfates, and nonionic surfactants such as ethylene oxide adducts of alkylphenols.

5

Emulsifiable concentrates of the compounds comprise a convenient concentration of a compound, such as from about 50 to about 500 grams per liter of liquid, equivalent to about 10% to about 50%, dissolved in an inert carrier which is either a water-miscible solvent or mixture of a water-immiscible organic solvent and emulsifiers. Useful organic solvents include aromatics, especially the xylenes, and petroleum fractions, especially high-boiling naphthenic and olefinic portions of petroleum such as heavy or aromatic naphtha. Other organic solvents may also be used, such as the terpenic solvents, including rosin derivatives, aliphatic ketones such as cyclohexanone, and complex alcohols such as 2-ethoxyethanol. Suitable emulsifiers for emulsifiable concentrates are chosen from conventional nonionic surfactants, such as those mentioned above.

Aqueous suspensions comprise suspensions of water-insoluble compounds of this invention dispersed in an aqueous vehicle at a concentration in the range from about 5% to about 50% by weight. The suspensions are prepared by finely grinding the compound, and vigorously mixing it into a vehicle comprised of water and surfactants chosen from the same types discussed above. Inert ingredients, such as inorganic salts and synthetic or natural gums may also be added to increase the density and viscosity of the aqueous vehicle. It is often most effective to grind and mix the compound at

the same time by preparing the aqueous mixture and homogenizing it in an implement such as a sand mill, ball mill, or piston-type homogenizer.

The Formula 2 compounds may also be applied as granular compositions, which are particularly useful for applications to the soil. Granular compositions usually contain from about 0.5% to about 10% by weight of the Formula 2 compound, dispersed in an inert carrier which consists entirely or in large part of clay or a similar inexpensive substance. Such compositions are usually prepared by dissolving the compound in a suitable solvent and applying it to a granular carrier which has been pre-formed to the appropriate particle size in the range of from about 0.5 to 3 mm. Such compositions may also be formulated by making a dough or paste of the carrier, drying the combined mixture of the active ingredient in the dough or paste, and crushing the dried composition to obtain the desired granular particle size.

Dusts containing the compound are prepared by intimately mixing the compound in powdered form with a suitable dust agricultural carrier, such as kaolin clay, ground volcanic rock, and the like. Dusts can suitably contain from about 1% to about 10% of the Formula 2 compound.

It is equally practical, when desirable for any reason, to apply the compound in the form of a solution in an appropriate organic solvent, usually a bland petroleum oil, such as the spray oils, which are widely used in agricultural chemistry.

Insecticides and miticides are usually applied in the form of a dispersion of the active ingredient in a liquid carrier. It is conventional to refer to application rates in terms of the concentration of active ingredient in the carrier. The most widely used 5 carrier is water.

The Formula 2 compounds can also be applied in the form of an aerosol composition. In such compositions the active compound is dissolved in an 10 inert carrier, which is a pressure-generating propellant mixture. The aerosol composition is packaged in a container from which the mixture is dispersed through an atomizing valve. Propellant mixtures comprise either 15 low-boiling halocarbons, which may be mixed with organic solvents, or aqueous suspensions pressurized with inert gases or gaseous hydrocarbons.

The amount of compound to be applied to the 20 loci of insects and mites is not critical and can readily be determined by those skilled in the art in view of the examples provided. In general, concentrations of from about 10 ppm to about 5,000 ppm of the Formula 2 compound are expected to provide good 25 control. With many of the compounds, concentrations of from about 100 to about 1,000 ppm will suffice. For field crops, such as soybeans and cotton, a suitable application rate for the compounds is about 0.01 to 30 about 1 kg/ha, typically applied in a 5 to 50 gal/A of spray formulation.

The locus to which a Formula 2 compound is applied can be any locus inhabited by an insect or mite, for example, vegetable crops, fruit and nut trees, grape vines and ornamental plants. Because of the unique

ability of mite eggs to resist toxicant action, repeated applications may be desirable to control newly emerged larvae, as is true of other known acaricides.

#### Ectoparasiticide Activity

5

The Formula 2 compounds are also active against members of the insect order *Diptera*. Tables XI and XII summarize the *in vitro* studies of the Formula 2 compounds against blowfly larvae and adult stable fly at 48 hours.

10

**Table XI. Activity Against Blowfly Larvae**

	Compound	Activity	
		rate (ppm)	% mortality
15	A83543K	2.5	40
	A83543P	10.0	10
	A83543W	10.0	0
	A83543Y	10.0	100

20

**Table XII. Activity Against Adult Stable fly**

	Compound	Activity	
		rate (ppm)	% mortality
25	A83543K	2.5	90
	A83543O	5	100
	A83543P	10	90
	A83543W	10	40
	A83543Y	10	90

#### Ectoparasiticidal Methods

The ectoparasiticidal method of this invention is carried out by administering a Formula 2 compound to host animals to control insect and *Acarina* parasites.

Administration to the animal may be by the dermal, oral, or parenteral routes.

Parasitic insects and Acarina include species that are bloodsucking as well as flesh eating and are 5 parasitic during all of their life cycle or only part of their life cycle, such as only the larval or only the adult stage. Representative species include the following:

10	horse fly	<i>Tabanus spp.</i>
	stable fly	<i>Stomoxys calcitrans</i>
	black fly	<i>Simulium spp.</i>
	horse sucking louse	<i>Haematopinus asini</i>
	mange mite	<i>Sarcoptes scabiei</i>
15	scab mite	<i>Psoroptes equi</i>
	horn fly	<i>Haematobia irritans</i>
	cattle biting louse	<i>Bovicola bovis</i>
	shortnosed cattle louse	<i>Haematopinus eurysternus</i>
20	longnosed cattle louse	<i>Linoqnathus vituli</i>
	tsetse fly	<i>Glossina spp.</i>
	cattle follicle mite	<i>Demodex bovis</i>
	cattle tick	<i>Boophilus microplus and B. decoloratus</i>
25	Gulf Coast tick	<i>Amblyomma maculatum</i>
	Lone Star tick	<i>Amblyomma americanum</i>
	ear tick	<i>Otobius megnini</i>
	Rocky Mountain wood tick	<i>Dermacentor andersoni</i>
30	screw-worm fly	<i>Cochliomyia hominivorax</i>
	assassin bug	<i>Reduvius spp.</i>
	mosquito	<i>Culiseta inornata</i>
	brown ear tick	<i>Rhipicephalus appendiculatus</i>

	African red tick	<i>Rhipicephalus evertsi</i>
	bont tick	<i>Amblyomma</i> sp.
	bont legged tick	<i>Hyalomma</i> sp.
	hog louse	<i>Haematopinus suis</i>
5	chigoe	<i>Tunga penetrans</i>
	body louse	<i>Haematopinus ovillus</i>
	foot louse	<i>Linoqnathus pedalis</i>
	sheep ked	<i>Melophagus ovinus</i>
	sheep scab mite	<i>Psoroptes ovis</i>
10	greenbottle fly	<i>Phaenicia sericata</i>
	black blow fly	<i>Phormia regina</i>
	secondary screw-worm	<i>Cochliomyia macellaria</i>
	sheep blow fly	<i>Phaenicia cuprina</i>
	bed bug	<i>Cimex lectularius</i>
15	Southern chicken flea	<i>Echidnophaga gallinacea</i>
	fowl tick	<i>Argas persicus</i>
	chicken mite	<i>Dermanyssus gallinae</i>
	scalyleg mite	<i>Knemidokoptes mutans</i>
20	depluming mite	<i>Knemidokoptes gallinae</i>
	dog follicle mite	<i>Demodex canis</i>
	dog flea	<i>Ctenocephalides canis</i>
	American dog tick	<i>Dermacentor variabilis</i>
	brown dog tick	<i>Rhipicephalus sanguineus</i>
25		

The method of the invention may be used to protect economic and companion animals from ectoparasites. For example, the compound may beneficially be administered to horses, cattle, sheep, pigs, goats, dogs, cats and the like, as well as to exotic animals such as camels, llamas, deer and other species which are commonly referred to as wild animals. The compound may also beneficially be administered to poultry and other birds, such as turkeys, chickens, ducks and the like.

Preferably, the method is applied to economic animals, and most preferably to cattle and sheep.

#### Ectoparasiticidal Compositions

5 This invention also relates to compositions for controlling a population of insect ectoparasites which consume blood of a host animal. These compositions may be used to protect economic, companion, and wild animals from ectoparasites. The compositions may also  
10 beneficially be administered to poultry and other birds.

Preferably, the method is applied or the compositions are used to protect economic animals, and most preferably to cattle and sheep. The rate, timing  
15 and manner of effective application will vary widely with the identity of the parasite, the degree or parasital attack and other factors. Applications can be made periodically over the entire life span of the host, or for only peak season of parasitic attack. In general  
20 ectoparasite control is obtained with topical application of liquid formulations containing from about 0.0005 to about 95% of the Formula 2 compound, preferably up to 5%, and most preferably up to 1% of a Formula 2  
25 compound. Effective parasite control is achieved at an administration rate from about 5 to about 100 mg/kg.

The Formula 2 compounds are applied to host animals by conventional veterinary practices. Usually  
30 the compounds are formulated into ectoparasiticidal compositions which comprise a Formula 2 compound and a physiologically-acceptable carrier. For example, liquid compositions may be simply sprayed on the animals for which ectoparasiticidal control is desired. The animals may also treat themselves by such devices as back

rubbers which may contain the Formula 2 compound and a cloth, for example, which the animal may walk against in contact. Dip tanks are also employed to administer the active agent to the host animal.

5           Oral administration may be performed by mixing the compound in the animals' feed or drinking water, or by administering dosage forms such as tablets, capsules, boluses or implants. Percutaneous administration is conveniently accomplished by subcutaneous,  
10           intraperitoneal, and intravenous injection of an injectible formulation.

15           The Formula 2 compounds can be formulated for oral administration in the usual forms, such as drenches, tablets or capsules. Such compositions, of course, require orally-acceptable inert carriers. The compounds can also be formulated as an injectible solution or suspension, for subcutaneous, dermal,  
20           intraruminal, intraperitoneal, intramuscular, or intravenous injection. In some applications the compounds are conveniently formulated as one component of a standard animal feed. In this embodiment it is usual to formulate the present compound first as a  
25           premix in which the compound is dispersed in a liquid or particulate solid carrier. The premix can contain from about 2 to about 250 g of Formula 2 compound per pound of mix. The premix is in turn formulated into the ultimate feed by conventional mixing.  
30

Because ectoparasitic attack generally takes place during a substantial portion of the host animal's life span, it is preferred to administer Formula 2 compounds in a form to provide sustained release over a period of time. Conventional procedures include the use

of a matrix which physically inhibits dissolution, where the matrix is a waxy semi-solid, such as the vegetable waxes, or a high molecular weight polyethylene glycol. A good way to administer the compounds is by means of a sustained-action bolus, such as those of Laby, U.S. 5 Patent No. 4,251,506 and Simpson, British Patent No. 2,059,767. For such a bolus the compound would be encapsulated in a polymeric matrix such as that of Nevin, U.S. Patent No. 4,273,920. Sustained release of 10 the compounds of the present invention can also be achieved by the use of an implant such as from a silicone-containing rubber.

In order to illustrate more fully the operation 15 of this invention, the following examples are provided:

Example 1

A83543 Assay Method

20 The following analytical high performance liquid chromatography (HPLC) method is useful for monitoring a fermentation for the production of A83543K, A83543O, A83543P, A83543U, A83543V, A83543W, A83543Y and other A83543 components:  
25

30 A sample of the whole broth is diluted with three volumes of acetonitrile to extract the factors from the mycelia. The resulting solution is then filtered through a 0.45 micron polytetrafluorine (PTFE) filter to remove particulate matter prior to injection into the HPLC assay system. A solution of purified A83543A at a concentration of 100 mg/ml in methanol is used as an external standard for the assay and peak areas of all A83543 components are related back to this

calibration standard to determine concentrations of individual components.

HPLC System:

5           Column Support: YMC-PACK 4.6 x 100-mm ID column, 5 $\mu$  spherical, 120Å (YMC Inc., Morris Plains, NJ)

Mobile Phase: CH<sub>3</sub>CN/MeOH/H<sub>2</sub>O (3:3:2) containing 0.05% ammonium acetate

10           Flow Rate: 2 ml/min

Detection: UV at 250 nm

15           Retention Times:      A83543A     15.52 min  
                                      A83543K     8.10 min  
                                      A83543O    11.40 min  
                                      A83543P    6.40 min  
                                      A83543U    5.22 min  
                                      A83543V    7.05 min  
                                      A83543W    8.47 min  
                                      A83543Y    6.12 min

Example 2

20           Preparation of A83543K and A83543O with Culture NRRL 18538 (A83543.4)

A.           Shake-flask Fermentation

25           The culture *S. spinosa* NRRL 18538, either as a lyophilized pellet or as a suspension maintained in liquid nitrogen, was used to inoculate a vegetative medium having the following composition:

30

## Vegetative Medium 1

Ingredient      Amount(g)

5	Enzyme-hydrolyzed casein*	30
	Yeast extract	3
	MgSO <sub>4</sub> · 7H <sub>2</sub> O	2
	Glucose	10
10	Deionized water	q.s. 1-L
	pH 6.2, adjust to pH 6.5 with NaOH	

\* NZ Amine A, Sheffield Products, Norwich, NY.

Slants or plates can be prepared by adding 2.5% agar to the vegetative medium. The inoculated slant is 15 incubated at 30°C for about 10 to about 14 days. The mature slant culture is scraped with a sterile tool to loosen the spores and to remove and macerate the mycelial mat. About one-fourth of the loosened spores 20 and culture growth thus obtained is used to inoculate 50 ml of a first-stage vegetative medium. Alternatively, the first-stage medium may be inoculated from a liquid nitrogen ampoule.

25 When culture is maintained in liquid nitrogen, ampoules are prepared using equal volumes of vegetative culture (48-72 hours incubation, 30°C) and suspending medium. The suspending medium contains lactose (100 g), glycerol (200 ml), and deionized water (q.s. to 1-L).

30 A liquid nitrogen ampoule is used to inoculate 50 ml of vegetative medium in 250-ml Erlenmeyer flasks. The cultures are incubated at 30°C for 48 hours on a shaker orbiting in a two-inch (5.08 cm) circle at 250 rpm.

The incubated culture (5% v/v inoculum) is used to inoculate 30 ml of a production medium in a 250-ml wide-mouth Erlenmeyer flask. The medium composition was as follows:

5	Production Medium	
	<u>Ingredient</u>	<u>Amount (g)</u>
	Glucose	80
	Peptonized milk*	20
10	Cottonseed flour**	30
	Corn steep liquor	10
	CaCO <sub>3</sub> (tech. grade)	5
	Methyl oleate	30***
	Tap water	q.s. to 1-L
15	* Peptonized Milk Nutrient, Sheffield Products, Norwich, NY	
	** Proflo, Traders Protein, Memphis, TN	
	***The amount of methyl oleate was 30 ml	

20 The inoculated production medium is incubated in 250-ml wide-mouth Erlenmeyer flasks at 30°C for 7 days on a shaker orbiting in a two-inch circle at 250 rpm. Sinefungin was added at a final concentration of about 100 µg/ml, at 72 hours after inoculation.

25 B. Stirred Reactor Fermentation

30 In order to provide a larger volume of inoculum, 10 ml of incubated first stage medium, prepared as described in Example 2, Section A, is used to inoculate 400 ml of a second-stage vegetative medium having the same composition as that of the first-stage medium. This second-stage vegetative medium is incubated in a 2-L wide-mouth Erlenmeyer flask for about

48 hours at 30°C on a shaker orbiting in a two-inch circle at 250 rpm.

5       Incubated second-stage vegetative medium (2-L) thus prepared is used to inoculate 115 liters of sterile production medium, prepared as described in Example 2, Section A. Sinefungin, as a filtered methanolic solution, was added at 66 hours to a final concentration of 100 µg/ml.

10      The inoculated production medium was allowed to ferment in a 165-L stirred bioreactor for 7 days at a temperature of 30°C. The air-flow and agitator speed in the stirred vessel are computer controlled to maintain a dissolved oxygen level at about 80% of air saturation.

15

Example 3

Preparation of A83543K, A83543O and A83543Y with Culture NRRL 18743 (A83543.8)

20

A.      Shake-flask Fermentation

25      The culture *S. spinosa* NRRL 18743, either as a lyophilized pellet or as a suspension maintained in liquid nitrogen, was used to inoculate a vegetative medium having the following composition:

30

## Vegetative Medium 2

	<u>Ingredient</u>	<u>Amount(g)</u>
5	Trypticase soy broth*	30
	Yeast extract	3
	MgSO <sub>4</sub> · 7H <sub>2</sub> O	2
10	Glucose	5
	Maltose	4
10	Deionized water	q.s. 1-L

autoclave 30 min at 120°C

\* Baltimore Biological Laboratories, Cockeysville, MD

Slants or plates can be prepared by adding 2.5% agar to the vegetative medium. The inoculated slant is incubated at 30°C for about 10 to about 14 days. The mature slant culture is scraped with a sterile tool to loosen the spores and remove and macerate the mycelial mat. About one-fourth of the loosened spores and culture growth thus obtained is used to inoculate 50 ml of a first-stage vegetative medium. Alternatively, the first-stage medium may be inoculated from a liquid nitrogen ampoule.

Liquid-nitrogen-stock inoculum was prepared by homogenizing a vegetative culture, diluting 1:1 (volume:volume) with a sterile suspending agent of glycerol:lactose:water (2:1:7), and dispensing into sterile tubes (1.5 ml/tube). The diluted inoculum was then stored over liquid nitrogen in appropriate storage containers and used as a working stock inoculum for the cultivation of shake-flask cultures and fermenter seed inoculum.

A liquid nitrogen ampoule was quick thawed and 0.5 ml was used to inoculate 50 ml of vegetative medium in 250-ml wide-mouth Erlenmeyer flasks. The cultures are incubated at 32°C for 48 hours on a shaker orbiting in a two-inch (5.08 cm) circle at 250 rpm.

5

The incubated culture (5% v/v inoculum) is used to inoculate 25 ml of a production medium having the following composition:

10

Production Medium

	<u>Ingredient</u>	<u>Amount (g)</u>
	Glucose	80
	Peptonized milk*	20
15	Cottonseed flour**	30
	Corn steep liquor	10
	CaCO <sub>3</sub> (tech. grade)	5
	Methyl oleate	30
	Tap water	q.s. to 1-L

20 \* Peptonized Milk Nutrient, Sheffield Products, Norwich, NY

\*\*Proflo, Traders Protein, Memphis TN

The inoculated production medium is incubated in 250-ml wide-mouth Erlenmeyer flasks at 30°C for 7 days on a shaker orbiting in a two-inch circle at 250 rpm.

25

B. Stirred Reactor Fermentation

30

In order to provide a larger volume of inoculum, 10 ml of incubated first stage medium, prepared as described in Example 3, Section A, is used to inoculate 400 ml of a second-stage vegetative medium having the same composition as that of the first-stage medium. This second-stage vegetative medium is

incubated in a 2-L wide-mouth Erlenmeyer flask for about 48 hours at 32°C on a shaker orbiting in a two-inch circle at 250 rpm.

5      Incubated second-stage vegetative medium (2-L) thus prepared is used to inoculate 115 liters of sterile production medium, prepared as described in Example 3, Section A.

10     The inoculated production medium is allowed to ferment in a 165-L stirred bioreactor for 7 days at a temperature of 30°C. The air-flow and agitator speed in the stirred vessel are computer controlled to maintain a dissolved oxygen level at or above 80% of air saturation.

15

Example 4

20     Isolation of A83543P and A83543W from NRRL 18719 (A83543.6) fermented in the presence of sinefungin

25

Fermentation broth (190-L) prepared substantially as described in Example 2B (with the exception that strain A83543.6 was used), was refrigerated two days prior to processing. Acetone (190-L) was added to the whole broth after adjusting the pH to 3.0 with 5N HCl. The resulting mixture was filtered through a ceramic filter to give filtrate (335-L) which was held over the weekend under refrigeration. The broth/acetone filtrate was adjusted to pH 10 with 5N NaOH and refiltered through the ceramic filter prior to loading onto a steel column (10-L; 10 cm x 122 cm) containing HP-20ss resin (Mitsubishi Chemical Industries, Ltd., Japan) at a flow rate of 1-L/minute. The column was washed with CH<sub>3</sub>CN - CH<sub>3</sub>OH - 0.1% aq. NH<sub>4</sub>OAc (adjusted to pH 8.1 with NH<sub>4</sub>OH) (25:25:50; 20-L),

then eluted with CH<sub>3</sub>CN - CH<sub>3</sub>OH - 0.1% aq. NH<sub>4</sub>OAc (adjusted pH 8.1 with NH<sub>4</sub>OH) (95:95:10; 40-L), collecting 2-L fractions. Fractions 3 - 9 were concentrated to dryness, redissolved in CH<sub>3</sub>OH (100 ml), reconcentrated, then precipitated into CH<sub>3</sub>CN (1-L). The 5 resulting precipitate was removed by filtration and discarded; the filtrate was concentrated to dryness. The resulting residue was redissolved in dichloromethane (25 ml) and applied to a column (7.5 cm x 50 cm) of 10 silica gel (EM grade 62, 60 - 200 mesh) equilibrated in acetonitrile. The column was eluted with CH<sub>3</sub>CN (4-L), then CH<sub>3</sub>CN - CH<sub>3</sub>OH (9:1; 5-L), followed by CH<sub>3</sub>OH (1-L), collecting 1-L fractions. Pool 1 (fractions 3 - 4) contained A83543 components J and L; pool 3 (fractions 7 15 - 10), components M and N. Pool 2 (fractions 5 - 6), containing new components P and W, was concentrated to dryness. The resulting residue was dissolved in CH<sub>3</sub>OH (10 ml) and applied to a preparative reverse phase HPLC 20 column (Rainin Dynamax-60A 8 μm C18, 41.4 mm ID x 25 cm with 41.4 mm x 5 cm guard module) equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (30:35:35, containing 0.1% NH<sub>4</sub>OAc). The column was eluted at a flow rate of 40 ml/minute with a gradient mixed from solvent "A" H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN 25 (30:35:35, containing 0.1% NH<sub>4</sub>OAc) and solvent "B" H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (10:45:45, containing 0.1% NH<sub>4</sub>OAc). The pumping system was programmed to generate a linear gradient from 25 to 75% B in 60 minutes. Progress of the separation was monitored with a variable wavelength 30 UV detector tuned to 250 nm. The major peak was collected in 6 x 3 minute fractions. Fractions 1 - 2, containing new component P, were concentrated to 40 ml, then desalted on the same HPLC column equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) by eluting with a 60 minute linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN

(30:35:35) to H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (10:45:45). The UV absorbing peak (minus the first 2 minutes eluted) was collected and concentrated to dryness. The resulting residue was dissolved in t-BuOH (10 ml) and lyophilized to give pure component P (479 mg). Pooled fractions 3 - 5 from above, containing a mixture of component P and W, were concentrated to 20 ml and applied to a preparative reverse phase HPLC column (Rainin Dynamax-60Å 8 µm C18, 21.4 mm ID x 25 cm with 21.4 mm x 5 cm guard module), equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) containing 0.1% NH<sub>4</sub>OAc, and eluted at a flow rate of 10 ml/minute with a gradient mixed from solvent "A" H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (30:35:35, containing 0.1% NH<sub>4</sub>OAc) and solvent "B" H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (10:45:45, containing 0.1% NH<sub>4</sub>OAc). The pumping system was programmed to generate a linear gradient from 25 to 75% B in 60 minutes. Two major UV absorbing peaks (component P, followed by component W) were collected. The component W containing pool was concentrated to a small volume, then desalted on the same HPLC column equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35). Component W was eluted with a 60 minute linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) to H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (10:45:45) at a flow rate of 10 ml/ minute. collecting UV absorbing peak into 10 x 3 minute fractions. Pooled fractions 2 - 7 were concentrated to residue, dissolved in t-BuOH, and lyophilized to give pure component W (82 mg). The component P-containing UV absorbing peak from above was desalted in like manner to give additional pure component P (132 mg).

Example 5

Isolation of A83543U and A83543V from strain NRRL 18823 (A83543.9) fermented in the presence of sinefungin

5           Fermentation broth (500 ml; 30 x 250 ml shake flasks) prepared substantially as described in Example 2A (except strain A83543.9 was used), was extracted with methanol (1.3-L) with stirring for one hour, then filtered using a filter aid (3% Hyflo) to give  
10          methanolic filtrate (1.5-L). The biomass was reextracted with methanol (700 ml) and filtered. The two methanolic extracts were combined and an equal volume of water added. HP-20 resin (75 ml) was added and stirred for 2 hours. after which the slurry was  
15          poured into a glass chromatography column. The effluent (5-L) was discarded, as was a CH<sub>3</sub>OH - H<sub>2</sub>O (1:1) wash (500 ml) of the column. The column was then eluted with acetone (250 ml). The acetone eluate was combined with  
20          that obtained from a similar extraction and chromatography of whole broth (500 ml; 40 x 250 ml shake flasks) and concentrated to dryness. The resulting residue was dissolved in dichloromethane (10 ml) and applied to a column (2.5 cm x 25 cm) of silica gel (EM  
25          grade 62, 60 - 200 mesh) equilibrated in acetonitrile. The column was washed with acetonitrile, then eluted with a linear gradient from acetonitrile to acetonitrile - methanol (4:1), collecting 25 ml fractions. Fractions  
30          34 - 43, containing new A83543 components U and V were pooled (200 ml), and concentrated to dryness. The residue was dissolved in methanol (2 ml) and applied to a preparative reverse phase HPLC column (Rainin Dynamax-60Å 8 µm C18, 21.4 mm ID x 25 cm with 21.4 mm x 5 cm guard module) equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) containing 0.1% NH<sub>4</sub>OAc. The column was

eluted with a 60 minute linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH  
- CH<sub>3</sub>CN (30:35:35) containing 0.1% NH<sub>4</sub>OAc to H<sub>2</sub>O - CH<sub>3</sub>OH  
- CH<sub>3</sub>CN (10:45:45) containing 0.1% NH<sub>4</sub>OAc at a flow rate  
of 10 ml/minute. The major peaks (UV monitored at 250  
5 nm), containing new components U and V, were collected  
before residual components H and Q. The pool containing  
component U was desalted on the same HPLC column  
equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) by  
eluting with a linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN  
10 (30:35:35) to H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (10:45:45). Component  
U was eluted in 2 minute fractions (10). Fractions 2 -  
8 were pooled, then concentrated to dryness. The  
residue was dissolved in t-BuOH (5 ml) and lyophilized  
15 to give pure component U (71 mg). The component V-  
containing pool was desalted and lyophilized by the same  
procedure to give pure component V (7 mg).

20

25

30

Example 6

Isolation of A83543K and A83543O from NRRL 18538  
(A83543.4) fermented in the presence of sinefungin

5                   Fermentation broth (210-L)  
was prepared substantially as described in Example 2B.  
Acetone was added to the whole broth and the pH was  
adjusted to 8.0. The resulting mixture was filtered  
through a ceramic filter to give filtrate (370-L). The  
10 broth/acetone filtrate was loaded onto a steel column  
(10-L, 10 cm x 122 cm) containing HP-20ss resin  
(Mitsubishi Chemical Industries. Ltd.. Japan) at a flow  
rate of 1-L/minute, collecting the effluent in a single  
15 pool. The column was eluted at a flow rate of 1-  
L/minute with a gradient mixed from solvent "A" (0.1%  
NH<sub>4</sub>OAc) and solvent "B" (CH<sub>3</sub>OH - CH<sub>3</sub>CN; 1:1). The  
pumping system was programmed to deliver 50% B for 2  
minutes, followed by a linear gradient from 50 - 80% B  
20 (45 minutes), followed by a linear gradient from 80 -  
90% B (33 minutes), collecting 20 x 4 L fractions.  
Fractions 13 - 17, containing components K and O were  
pooled. The column effluent (see above) was adjusted to  
pH 9.5 with 5N NaOH and reapplied to the HP-20ss column.  
25 The pumping system was programmed to deliver 50% B for 1  
minute, a linear gradient from 50 - 75% B (30 minutes),  
a linear gradient from 75 - 85% B (45 minutes), a linear  
gradient from 85 - 88% B (15.4 minutes), and a linear  
gradient from 88 - 100% B (20 minutes), at a flow rate  
30 of 1-L/minute, collecting 22 x 4 L fractions. Fractions  
7 - 17 were pooled and combined with the pool (fractions  
13 - 17 from the first HP-20ss chromatography (see  
above)). The combined pools were concentrated to 4-L,  
then further concentrated to dryness, redissolved in  
CH<sub>3</sub>OH (100 ml), then precipitated into CH<sub>3</sub>CN (3-L). The

resulting precipitate was removed by filtration, washed with CH<sub>3</sub>CN, and discarded; the filtrate was concentrated to dryness. The resulting residue was redissolved in dichloromethane (50 ml) and applied to a column (6 cm x 24 cm) of silica gel (EM grade 62, 60 - 200 mesh) equilibrated in acetonitrile. The column was eluted with CH<sub>3</sub>CN (4-L), then CH<sub>3</sub>CN - CH<sub>3</sub>OH (9:1; 10-L), taking 10 x 250 ml fractions, followed by 7 x 1 L fractions. Fractions 6 - 15, containing components K and O, were concentrated to dryness. The resulting residue was dissolved in CH<sub>3</sub>OH (100 ml) and applied (in 20 runs) to a preparative reverse phase HPLC column (Rainin Dynamax-60A 8 μm C18, 41.4 mm ID x 25 cm with 41.4 mm x 5 cm guard module) equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (50:175:175, containing 0.1% NH<sub>4</sub>OAc). The column was eluted at a flow rate of 40 ml/minute. Progress of the separation was monitored with a variable wavelength UV detector tuned to 250 nm. UV absorbing peaks (from the 20 chromatographic runs) were collected in 7 pools. The two largest peaks corresponded to components K and O. Pool 3 (6-L), contained component K (98% pure). Pool 4 (8-L), containing components O and K, was concentrated to 200 ml and rechromatographed (in 4 runs) under the same conditions, collecting the two peaks as two pools. Pool 1 (3-L) contained component K (98% pure). Pool 2 (5-L), contained component O (95%) and component K (5%). Pool 2 was concentrated to 100 ml and desalted by chromatography on the same HPLC column (in 3 runs), eluting with a 60 minute linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (30:35:35) to H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN (10:45:45). The UV absorbing eluate was collected in 10 x 3 minute fractions. Fractions containing >98% pure component O were pooled, concentrated to dryness, and lyophilized from t-BuOH to give component O (2.5 g);

>98% pure). Component K containing pools from the first preparative HPLC separation (pool 3, 6-L) and the repurification of component O (pool 1, 3-L) were combined, concentrated to 200 ml, and desalted in the same manner as component O. Fractions containing >98% pure component K were pooled, concentrated to dryness, and lyophilized from t-BuOH to give component K (11.1 g; >99% pure).

5           Example 7  
10

Isolation of A83543K, A83543O, and A83543Y from strain NRRL 18743 (A83543.8)

15           Fermentation broth (260-L) was prepared as substantially described in Example 3B. Acetone (260-L) was added to the whole broth after adjusting the pH to 3.0 with 5N HCl. The resulting mixture was filtered through a ceramic filter to give filtrate (480-L) which was held over the weekend under refrigeration. The 20           broth/acetone filtrate was adjusted to pH 12 with 25% NaOH and refiltered twice through the ceramic filter prior to loading onto a steel column (10-L, 10 cm x 122 cm) containing HP-20ss resin (Mitsubishi Chemical Industries, Ltd., Japan) at a flow rate of 0.5-L/minute. The column was washed with CH<sub>3</sub>CN - CH<sub>3</sub>OH - 0.1% aq. NH<sub>4</sub>OAc (adjusted to pH 8.1 with NH<sub>4</sub>OH) (25:25:50; 20-L). New components K, O and Y were eluted with CH<sub>3</sub>CN - CH<sub>3</sub>OH - 0.1% aq. NH<sub>4</sub>OAc (adjusted to pH 8.1 with NH<sub>4</sub>OH) 25           (95:95:10; 30-L) at a flow rate of 1-L/minute. The eluate (30-L) was concentrated, redissolved in CH<sub>3</sub>OH, reconcentrated to dryness, redissolved in CH<sub>3</sub>OH (100 ml), then precipitated into CH<sub>3</sub>CN (2-L). The resulting precipitate was removed by filtration, washed with CH<sub>3</sub>CN, and discarded; the combined filtrate and wash 30

(3-L) was concentrated to dryness. The resulting residue was redissolved in dichloromethane (50 ml) and applied to a column (7.5 cm x 50 cm) of silica gel (EM grade 62, 60 - 200 mesh) equilibrated in acetonitrile. The column was eluted with CH<sub>3</sub>CN (10-L), then CH<sub>3</sub>CN - CH<sub>3</sub>OH (9:1; 20-L), followed by CH<sub>3</sub>CN - CH<sub>3</sub>OH (8:2; 10-L), collecting 1-L fractions. Fractions 11 - 30 were pooled and concentrated to dryness. The resulting residue was dissolved in CH<sub>3</sub>OH (50 ml) and applied (in 10 runs) to a preparative reverse phase HPLC column (Rainin Dynamax-60A 8 μm C18, 41.4 mm ID x 25 cm with 41.4 mm x 5 cm guard module) equilibrated in H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (50:175:175, containing 0.1% NH<sub>4</sub>OAc). The column was eluted at a flow rate of 40 ml/minute with a 60 minute linear gradient from H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (50:175:175, containing 0.1% NH<sub>4</sub>OAc) to H<sub>2</sub>O - CH<sub>3</sub>OH - CH<sub>3</sub>CN; (10:45:45, containing 0.1% NH<sub>4</sub>OAc). Progress of the separation was monitored with a variable wavelength UV detector tuned to 250 nm. The first three peaks collected (10 runs pooled) corresponded to the elution of minor component Y (pool 1, 1-L), component K (pool 2, 8-L) and component O (pool 3, 4-L). Pool 2 was concentrated to a small volume, then desalted by rechromatographing on the same column, eluting without buffer. The effluent corresponding to the UV absorption peak was concentrated to dryness, dissolved in t-BuOH, and lyophilized to give pure component K (7.3 g). Pool 3 was desalted and lyophilized in like manner to give pure component O (1.4 g). Pool 1 was desalted by similar chromatography (Rainin Dynamax-60A 8 μm C18 column, 21.4 mm ID x 25 cm with 21.4 mm x 5 cm guard module) and lyophilized in like manner to give pure component Y (46 mg).

Example 8

## A83543K pseudoaglycone

5 A sample of A83543K (100 mg) was dissolved in  
2N sulfuric acid (10 ml). This solution was heated at  
about 80°C for 1.25 hours, and the resulting mixture was  
allowed to cool to room temperature. The precipitate  
was collected by filtration, washed with cold deionized  
water, and dried to give 59 mg of A83543K  
10 pseudoaglycone.

## Elemental Analysis

MS (FD): m/z 576 (100%)

15 IR (CHCl<sub>3</sub>): 2936.0, 1714.9, 1659.0 cm<sup>-1</sup>UV (EtOH): λ<sub>max</sub> 243 nmExample 9

## A83543O Pseudoaglycone

20

A sample of A83543O (500 mg) was suspended in  
deionized water (40 ml) and a sufficient volume of 1N  
H<sub>2</sub>SO<sub>4</sub> was added to cause complete dissolution  
25 (approximately 0.25 ml). The resulting solution was  
heated at about 80°C for 3 hours, and then allowed to  
cool to room temperature. The precipitate was collected  
by filtration, washed with cold deionized water, and  
dried. The filtrate was saturated with NaCl and  
30 extracted with methylene chloride. The methylene  
chloride extracts were combined, extracted with brine,  
dried (K<sub>2</sub>CO<sub>3</sub>), and evaporated to dryness. The residue  
was combined with the precipitate to give 348 mg of  
crude product.

The crude product was purified by flash chromatography (Silica gel 60, 230-400 mesh), eluting with a mixture of ethyl acetate and hexane (7:3). The fractions containing the desired compound were evaporated to dryness to give 146.5 mg of A835430  
5 pseudoaglycone.

#### Elemental Analysis

MS (FD): m/z 590 (100%), 591 (70%, M+), 592 (20%,  
10 M+H), 593 (5%, M+2)  
IR (CHCl<sub>3</sub>): 3014.2, 2932.2, 1714.9, 1659.0 cm<sup>-1</sup>  
UV (EtOH): λ<sub>max</sub> 242 nm (ε 9,185)

15 Example 10

N-demethyl-A83543K

A83543K (101.5 mg, 0.14 mmol) and sodium acetate trihydrate (142.4 mg, 1.05 mmol) were added to a mixture of methanol and pH 9 buffer solution (Fisher Scientific, Lexington, MA). The resulting suspension was heated to about 47°C, and then iodine (47.7 mg, 0.19 mmol) was added in one portion. After 2-1/2 hours at 47°C, the reaction was allowed to cool to room temperature. After stirring an additional 3 hours at room temperature, the reaction solution was added to a 5% sodium thiosulfate solution. The resulting colorless aqueous mixture was extracted with diethyl ether. The aqueous layer was then saturated with NaCl and extracted with methylene chloride. The methylene chloride extracts were combined with the diethyl ether extracts, washed with brine, and dried over K<sub>2</sub>CO<sub>3</sub>. The dried solution was then evaporated to dryness *in vacuo* to give  
20  
25  
30

79.3 mg of N-demethyl-A83543K as a white glass (81% yield).

MS (FD): m/z 703 (100%, M+), 704 (57%, M+H),  
705 (19%, M+2)

5

Elemental Analysis ( $C_{39}H_{61}NO_{10}$ ) Calc.: C, 66.55;  
H, 8.73; N, 1.99; Found: C, 64.80; H, 8.67; N,  
1.95

10

IR (KBr): 3462.7, 2934.1, 1721.7, 1660.9,  
1457.4  $\text{cm}^{-1}$ .

Example 11

15

di-N-demethyl-A83543K

20

A solution of N-demethyl-A83543K (891 mg, 1.27 mmol) in MeOH (40 ml) was cooled to 3°C. Freshly prepared 1M NaOMe in methanol (6.3 ml, 6.3 mmol) and iodine (1.61 g, 6.3 mmol) were successively added to this solution. The reaction solution was kept at 3°C for 5 hours, then added to a 5% sodium thiosulfate/- dilute ammonium hydroxide solution. The resulting mixture was extracted with ethyl acetate. The combined ethyl acetate extracts were washed with brine and dried over  $K_2CO_3$ . The dried solution was evaporated to dryness *in vacuo* to give 770 mg of crude product.

25

giving 463.6 mg (53% yield) of di-N-demethyl-A83543K as a colorless glass.

5                   Elemental analysis ( $C_{38}H_{59}NO_{10}$ ) Calc.: C, 66.16; H, 8.62; N, 2.03; Found: C, 66.29; H, 8.63; N, 2.02,

10                  MS(FD): m/z 690 (100%, M+), 689 (70%), 691 (59%, M+H), 704 (20%)

15                  UV (EtOH):  $\lambda_{max}$  244 nm ( $\epsilon$  10,328)

IR ( $CHCl_3$ ): 3700, 3600, 3550-3350 (br), 3420, 2975, 1700, 1675, 1620  $cm^{-1}$ .

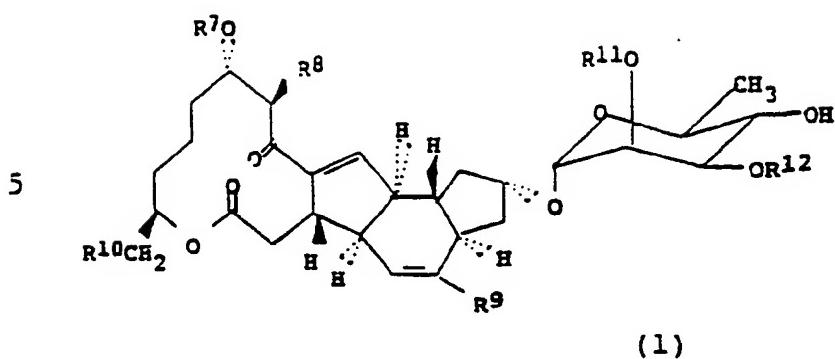
20

25

30

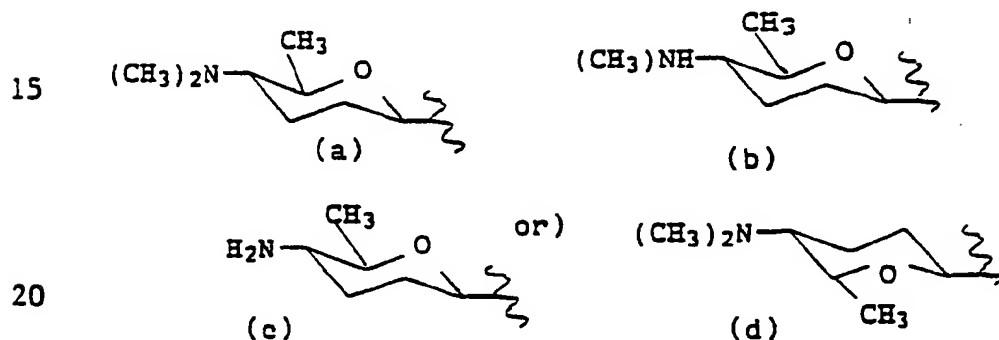
**WHAT IS CLAIMED IS:**

1. A compound of the formula  $\text{Li}_2\text{O}_2$



10

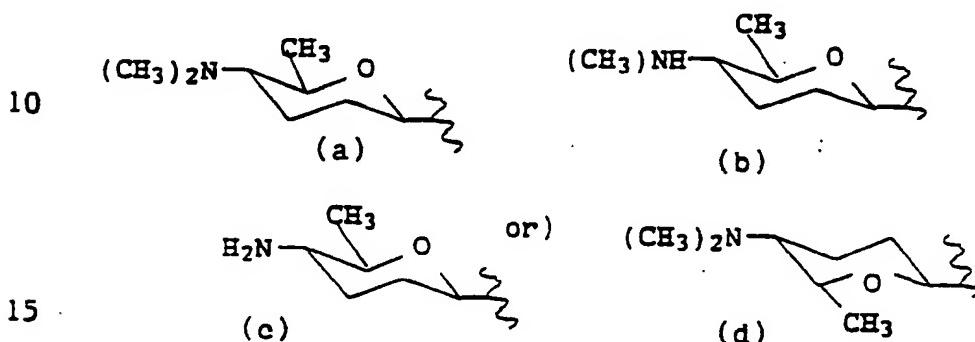
wherein R<sup>7</sup> is hydrogen or a group of formula



25

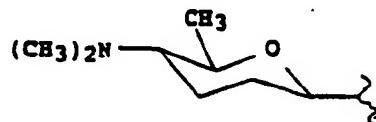
R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, and R<sup>12</sup> are independently hydrogen or methyl, provided that R<sup>11</sup> and R<sup>12</sup> are not concurrently hydrogen; or an acid addition salt thereof when R<sup>7</sup> is other than hydrogen.

5                   2. The compound of Claim 1 wherein R<sup>7</sup> is a  
group of formula



3. The compound of Claim 2 wherein R<sup>7</sup> is a group of formula

20



25

4. The compound of Claim 3 wherein R<sup>8</sup> is methyl.

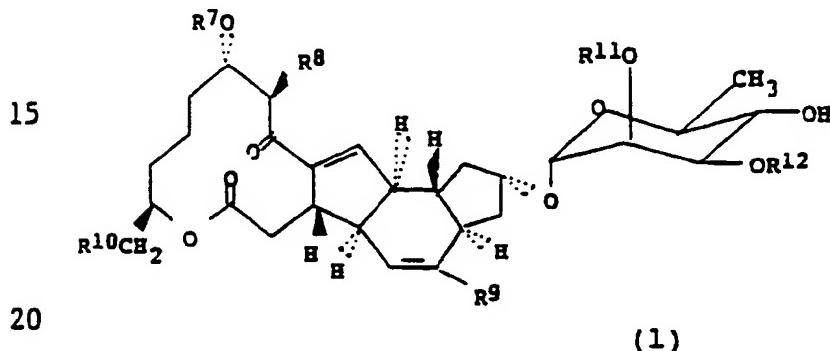
30

5. The compound of Claim 1 wherein R<sup>7</sup> is hydrogen.

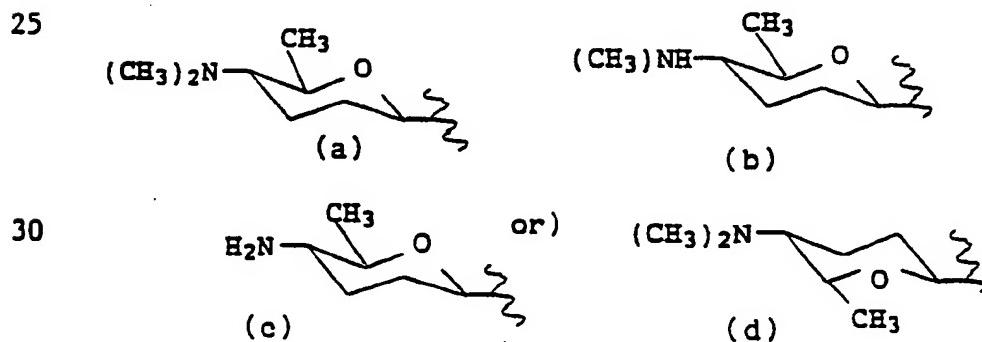
30        6. A compound of Claim 1, wherein R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>,  
R<sup>10</sup>, R<sup>11</sup> and R<sup>12</sup> are for each component as follows:

Component	R <sup>7</sup>	R <sup>8</sup>	R <sup>9</sup>	R <sup>10</sup>	R <sup>11</sup>	R <sup>12</sup>
K	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>
O	(a)	CH <sub>3</sub>				
P	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	CH <sub>3</sub>	H
5 U	(a)	CH <sub>3</sub>	H	CH <sub>3</sub>	H	CH <sub>3</sub>
V	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H	CH <sub>3</sub>
W	(a)	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	H
Y	(a)	CH <sub>3</sub>	H	H	CH <sub>3</sub>	CH <sub>3</sub>

7. A process for preparing a compound of  
10 Formula 1



wherein R<sup>7</sup> is hydrogen or a group of formula



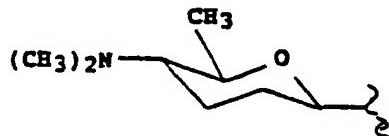
R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, and R<sup>12</sup> are independently hydrogen or methyl, provided that R<sup>11</sup> and R<sup>12</sup> are not concurrently hydrogen; or an acid addition salt thereof when R<sup>7</sup> is other than hydrogen;

5        which comprises cultivating a *Saccharopolyspora spinosa* strain selected from NRRL 18395, NRRL 18537, NRRL 18538, NRRL 18539, NRRL 18719, NRRL 18720, NRRL 18823, or an A83543-producing mutant thereof, in a suitable culture medium containing from about 50 mg/ml to about 200 mg/ml 10      of sinefungin, under submerged aerobic fermentation conditions until a recoverable amount of a compound of Formula 1 is produced.

15        8. The process of Claim 7 further comprising the step of separating a compound of formula 1 from the culture medium.

20        9. The process of Claim 8 wherein the culture is a *S. spinosa* strain selected from NRRL 18395, NRRL 18537, NRRL 18538, NRRL 18539, or an A83543A-producing mutant thereof.

25        10. The process of Claim 9 for preparing a compound of Formula 1 wherein R<sup>8</sup>, R<sup>10</sup>, R<sup>11</sup> and R<sup>12</sup> are methyl and R<sup>7</sup> is a group of formula



30

11. The process of Claim 10 further comprising the step of separating component A83543K.

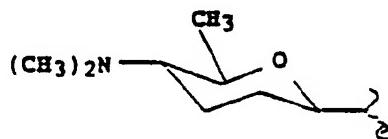
12. The process of Claim 10 further comprising the step of separating component A83543O.

13. The process of Claim 8 wherein the culture is selected from *S.spinosa* strain 18823 or an A83543H-producing mutant thereof.

5

14. The process of Claim 9 for preparing a compound of Formula 1 wherein R<sup>8</sup>, R<sup>10</sup> and R<sup>12</sup> are methyl, R<sup>11</sup> is hydrogen and R<sup>7</sup> is a group of formula

10



15

15. The process of Claim 14 further comprising the step of separating A83543U.

16. The process of Claim 14 further comprising the step of separating A83543V.

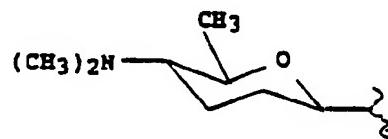
20

17. The process of Claim 8 wherein the culture is selected from *S.spinosa* strain NRRL 18719, NRRL 18720 or an A83543J-producing mutant thereof.

25

18. The process of Claim 9 for preparing a compound of Formula 1 wherein R<sup>8</sup>, R<sup>10</sup> and R<sup>11</sup> are methyl, R<sup>12</sup> is hydrogen and R<sup>7</sup> is a group of formula

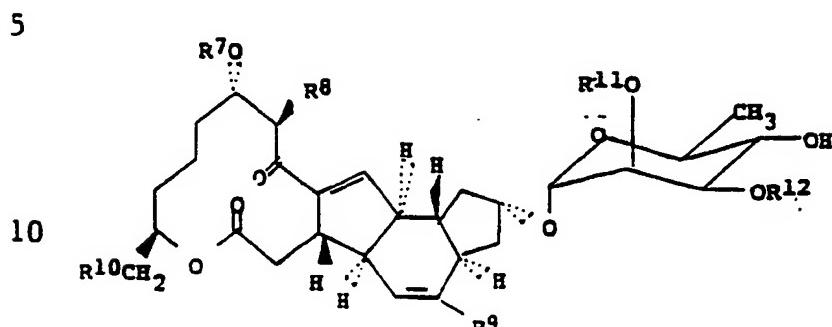
30



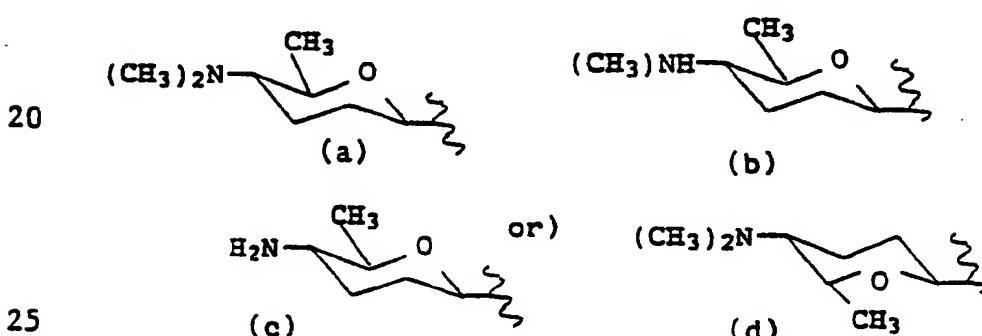
19. The process of Claim 18 further comprising the step of separating A83543P.

20. The process of Claim 18 further comprising the step of separating A83543W.

21. A process for preparing a compound of Formula 1



15 wherein R<sup>7</sup> is hydrogen or a group of formula



30

R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>11</sup>, and R<sup>12</sup> is independently hydrogen or methyl, provided that R<sup>11</sup> and R<sup>12</sup> are not concurrently hydrogen; or an acid addition salt thereof when R<sup>7</sup> is other than hydrogen;

5        which comprises cultivating a *Saccharopolyspora spinosa* strain selected from NRRL 18743, or an A83543K-producing mutant thereof, in a suitable culture medium, under submerged aerobic fermentation conditions until a recoverable amount of a compound of Formula 1 is  
10      produced.

22. The process of Claim 21 further comprising the step of separating a compound of Formula 1 from the culture medium.

15        23. The process of Claim 22 further comprising the step of separating component A83543K.

20        24. The process of Claim 22 further comprising the step of separating component A83543O.

25        25. The process of Claim 22 further comprising the step of separating component A83543Y.

26. An insecticide or miticide composition  
25      comprising an insect- or mite-inactivating amount of a compound of Claim 2 in combination with a phytologically-acceptable carrier.

30        27. An insecticide or miticide method which comprises applying to the locus of an insect or mite an insect- or mite-inactivating amount of a compound of Claim 2.

28. An ectoparasiticidal composition comprising a physiologically-acceptable inert carrier and a compound of Claim 2.

5           29. A method of controlling a population of insect ectoparasites which consume blood of a host animal which comprises administering to the host animals a compound of Claim 2.

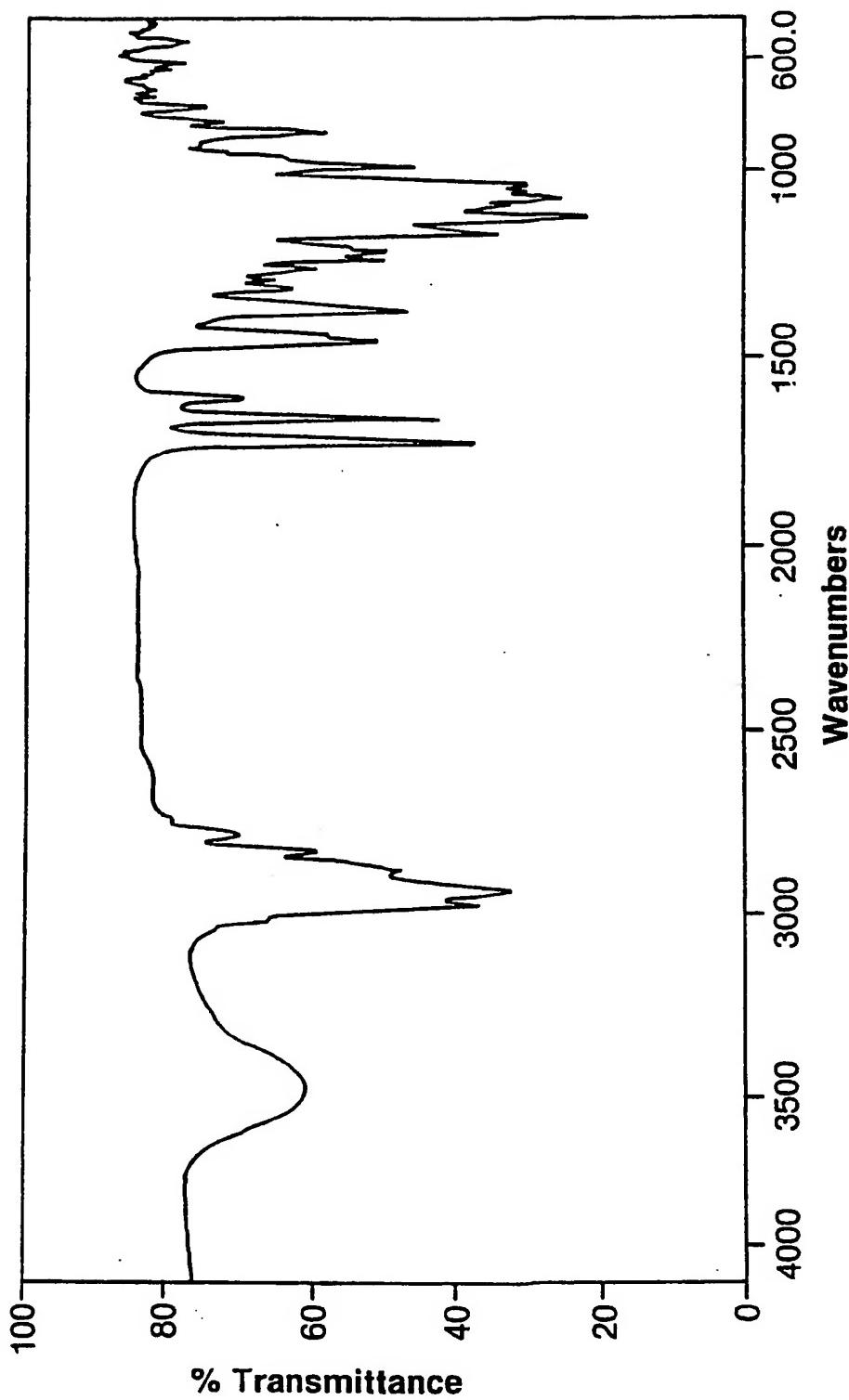
10           30. A biologically pure culture of *Saccharopolyspora spinosa* NRRL 18743, or an A83543K-producing mutant thereof.

15

20

25

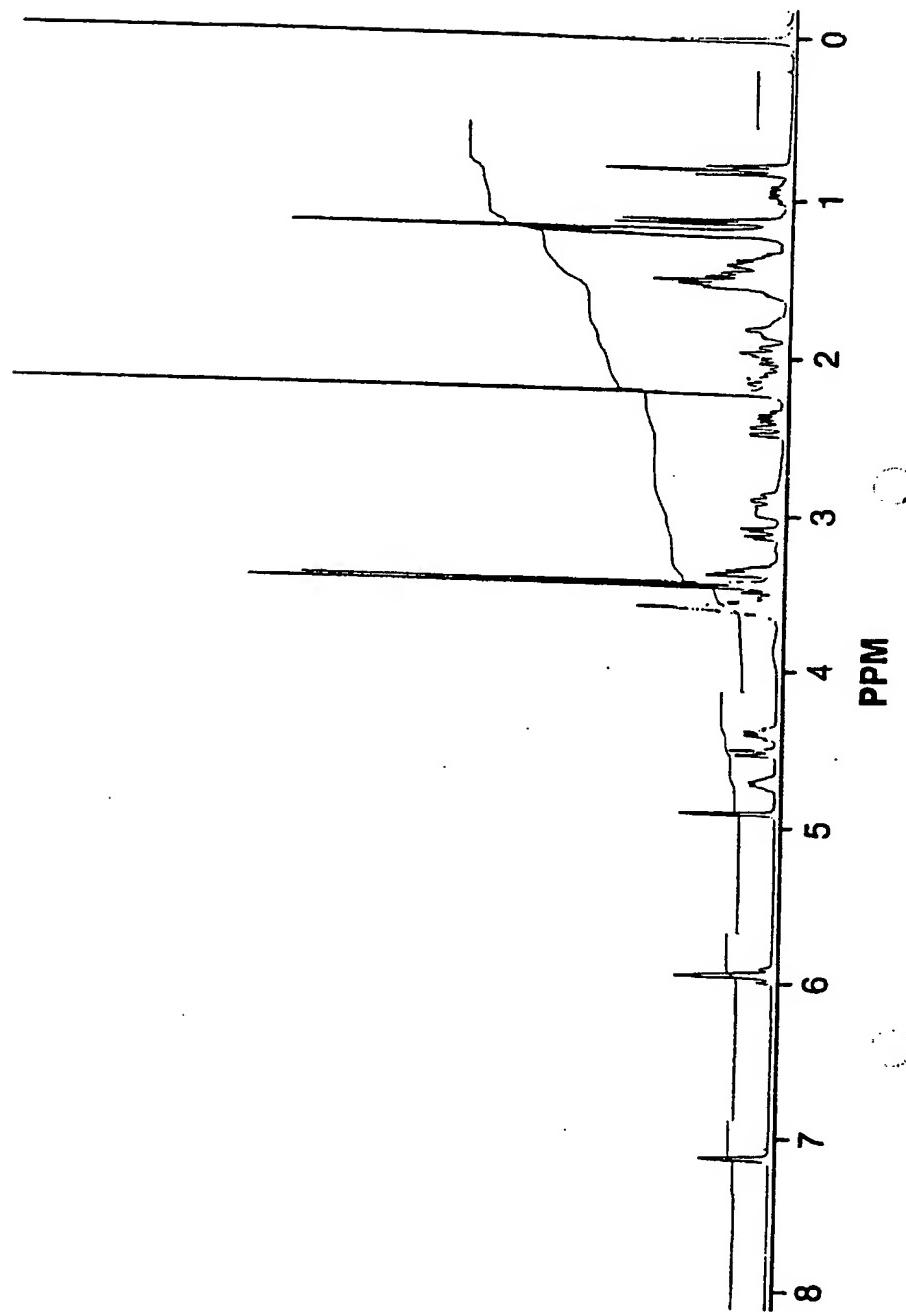
30

**Figure 1**

1/20

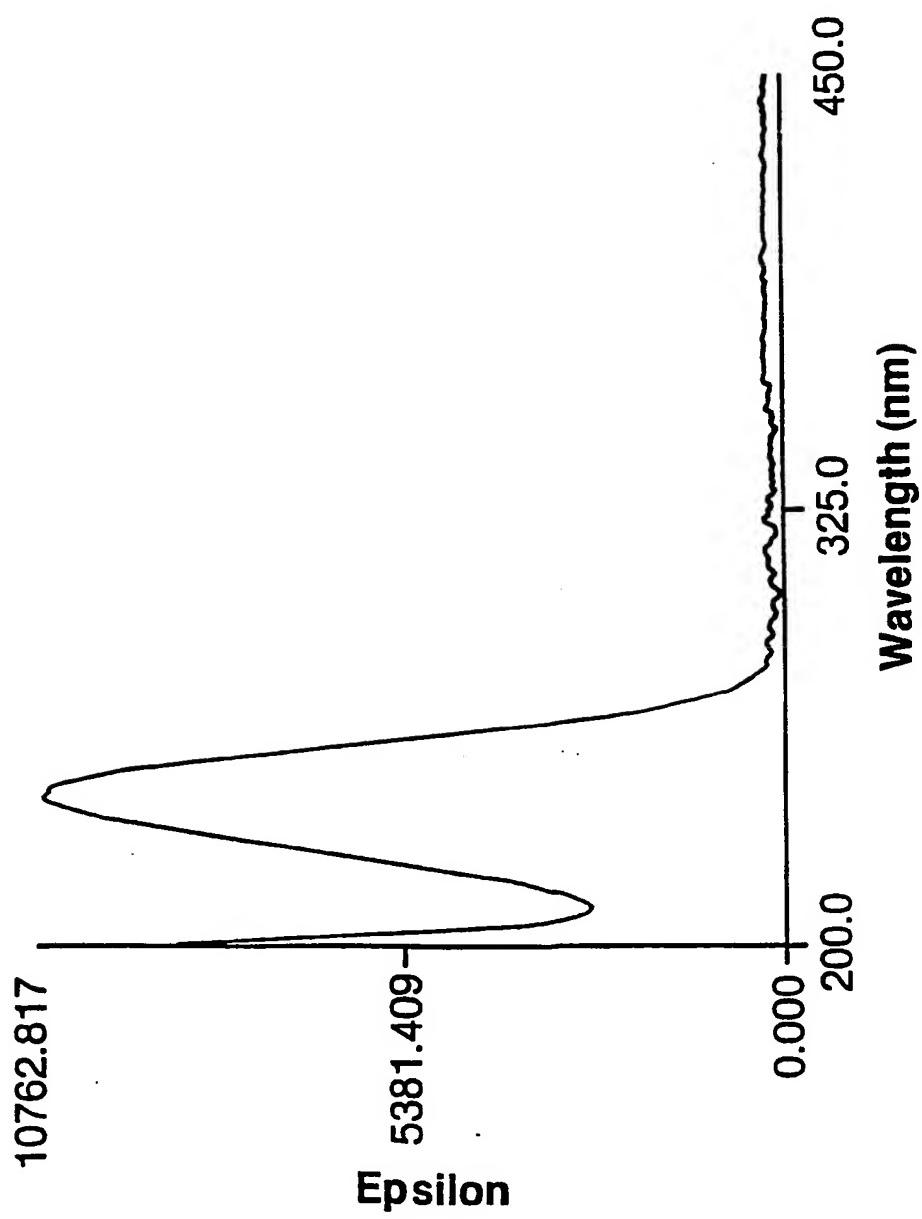
**SUBSTITUTE SHEET (RULE 26)**

Figure 2

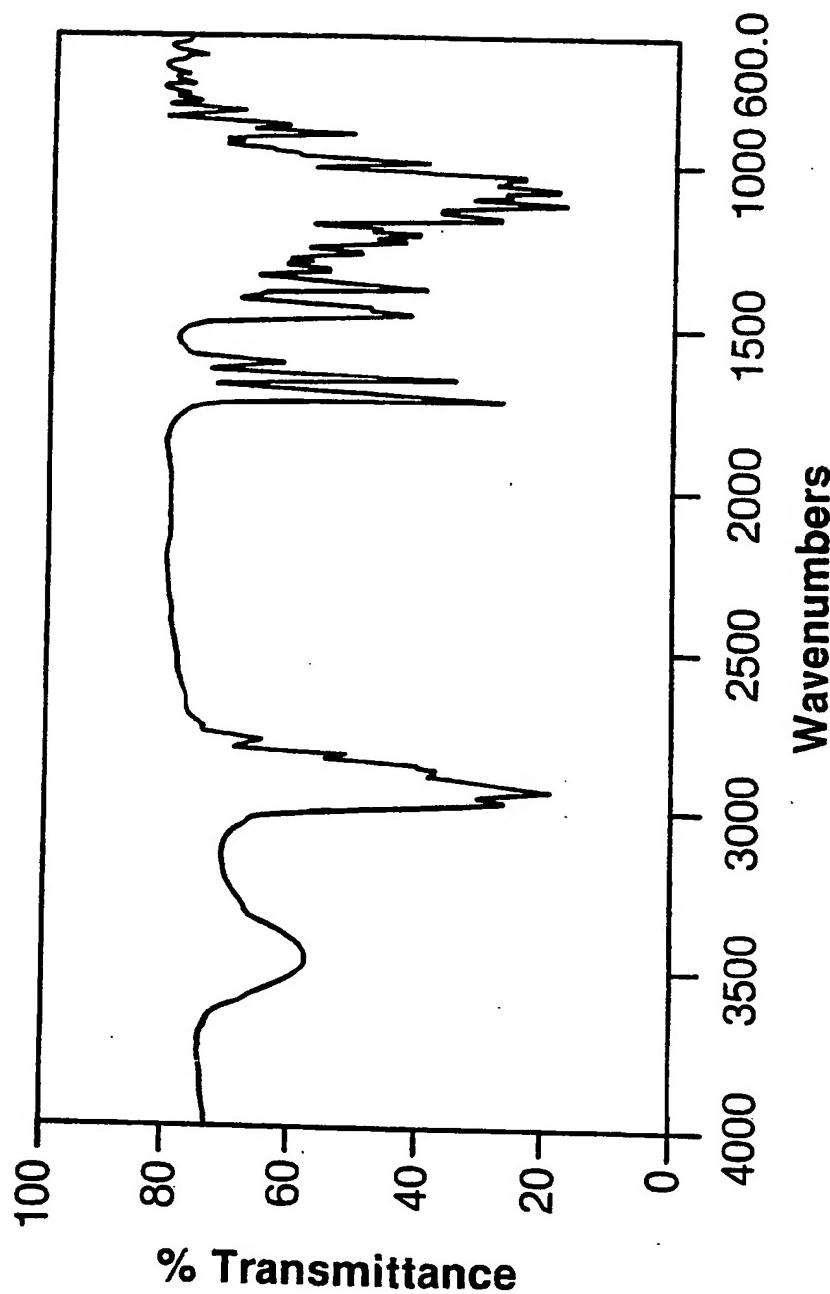


2 / 20

SUBSTITUTE SHEET (RULE 26)

**Figure 3**

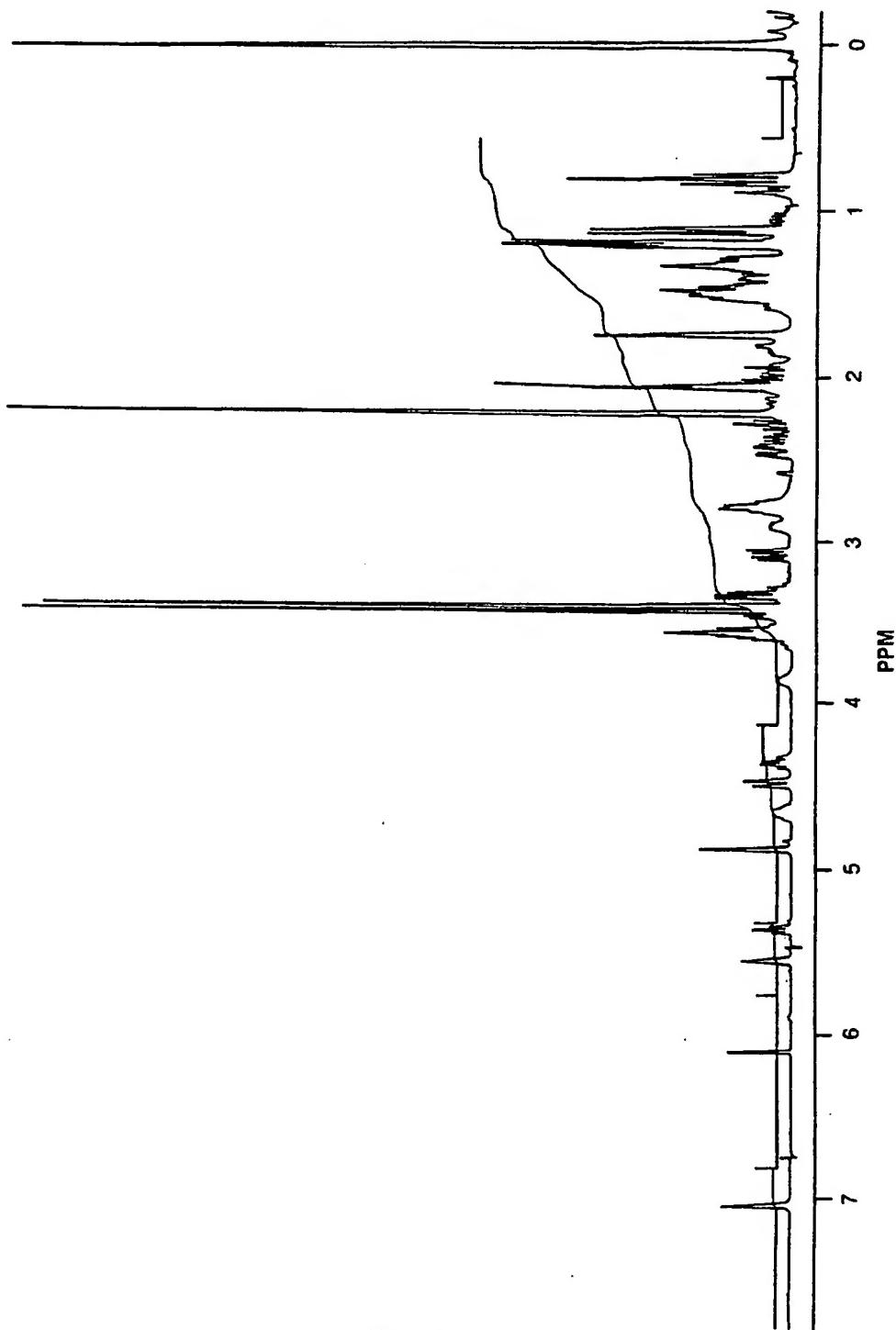
3 / 20

**Figure 4**

4 / 20

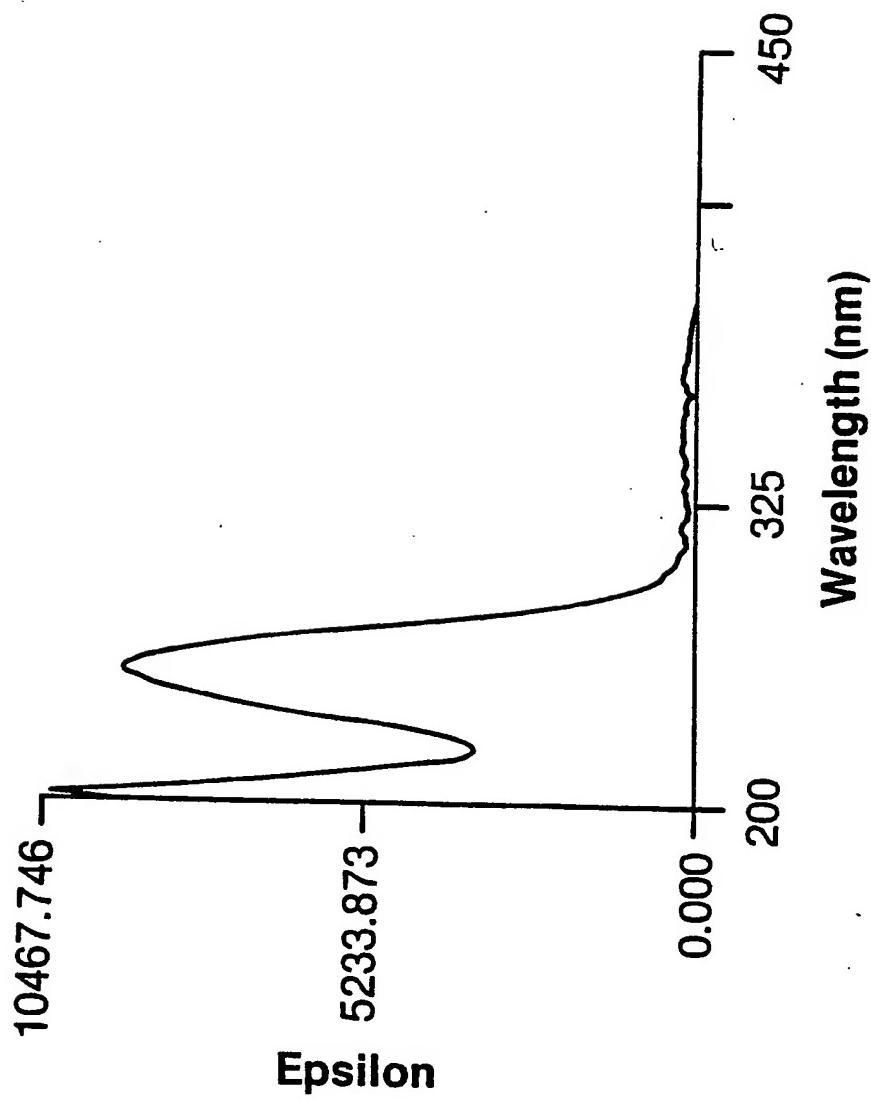
**SUBSTITUTE SHEET (RULE 26)**

Figure 5



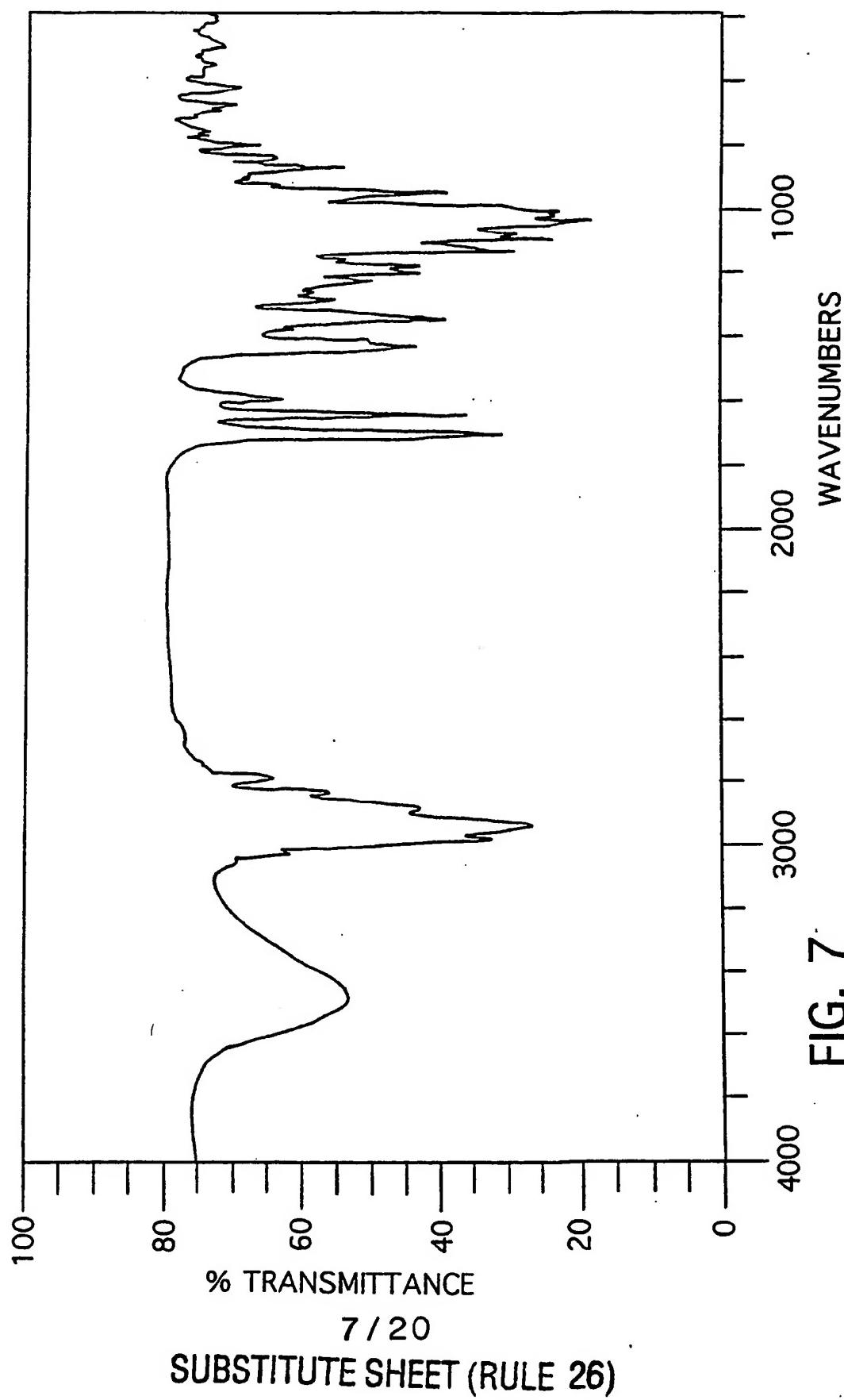
5 / 20

SUBSTITUTE SHEET (RULE 26)

**Figure 6**

6 / 20

**SUBSTITUTE SHEET (RULE 26)**



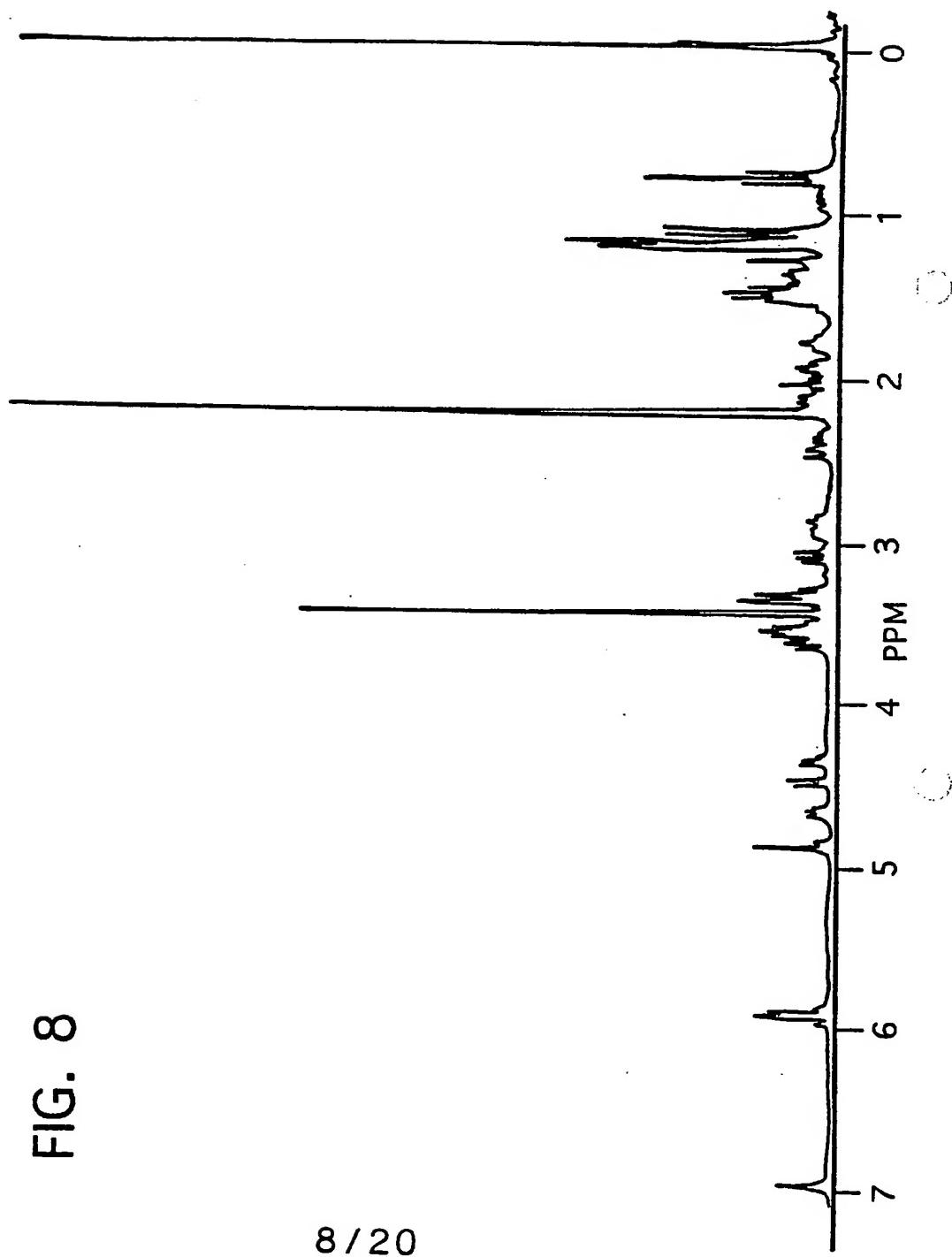


FIG. 8

8 / 20

SUBSTITUTE SHEET (RULE 26)

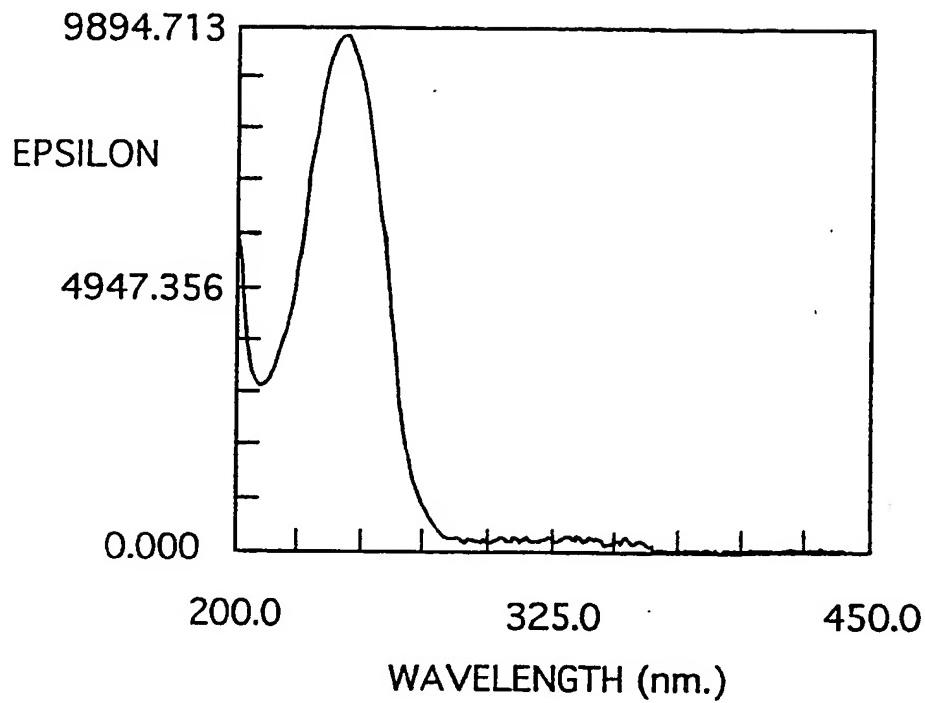


FIG. 9

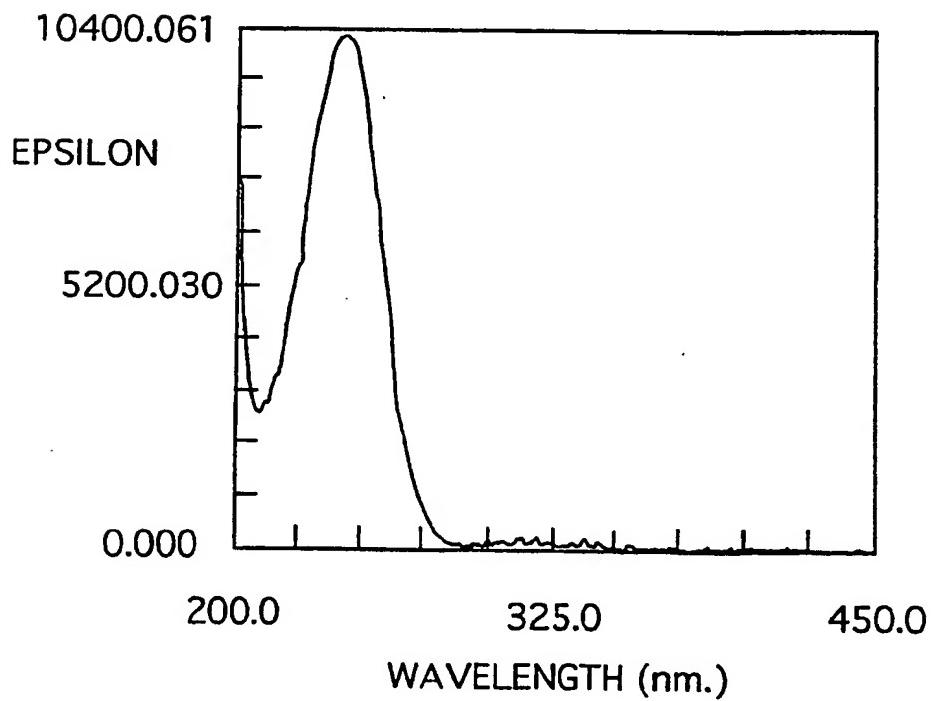


FIG. 12

9 / 20

SUBSTITUTE SHEET (RULE 26)

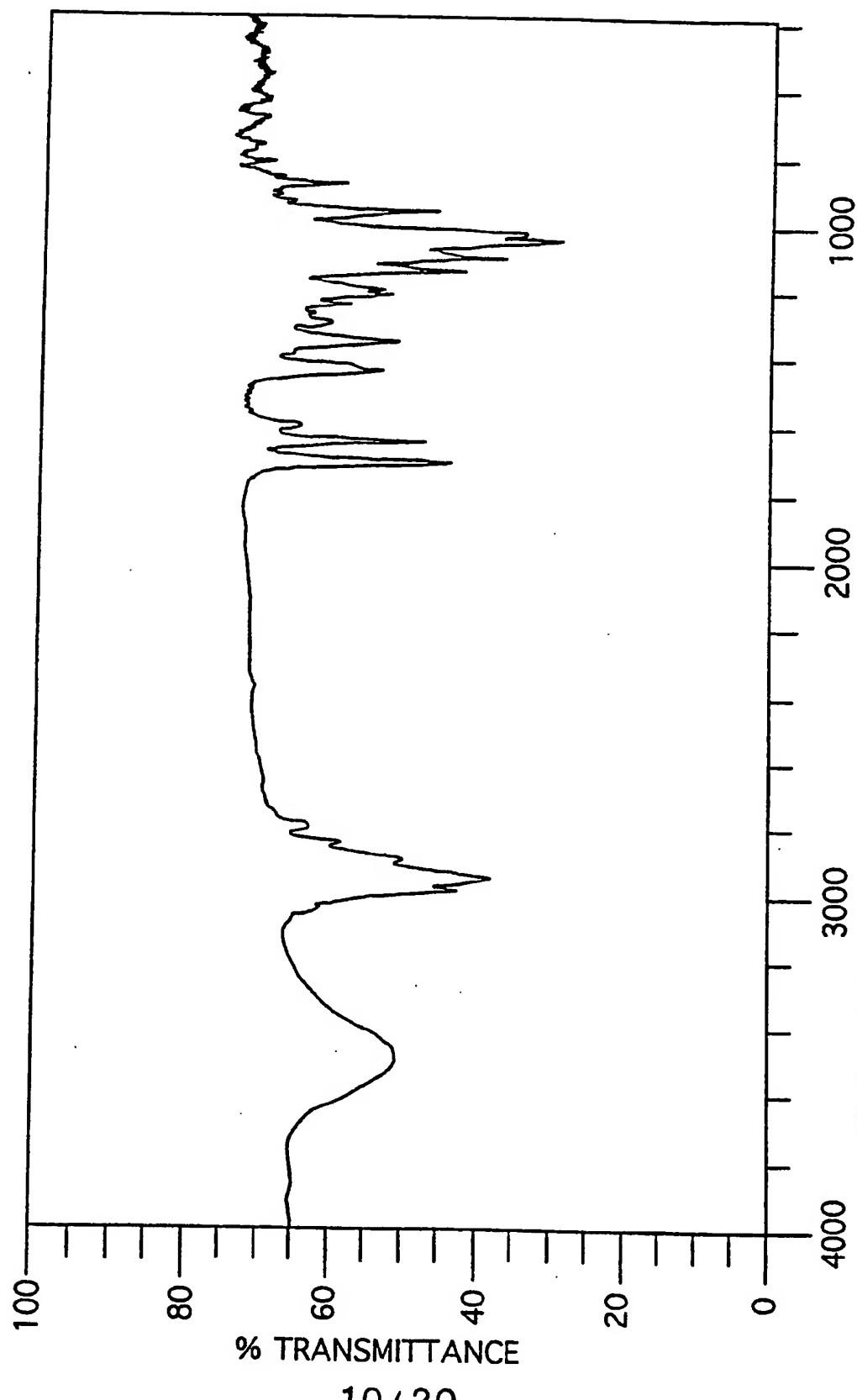


FIG. 10

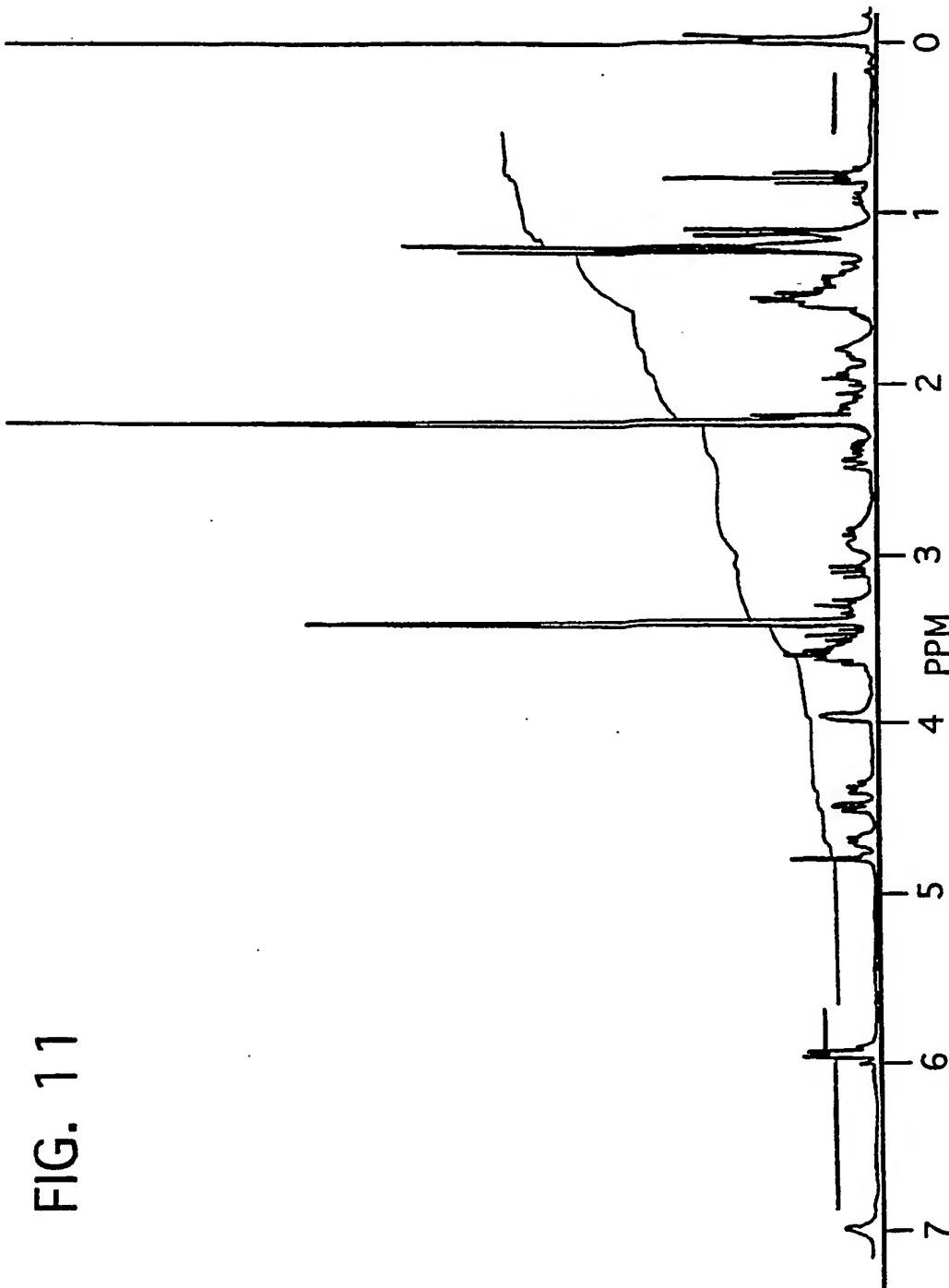


FIG. 11

11/20

SUBSTITUTE SHEET (RULE 26)

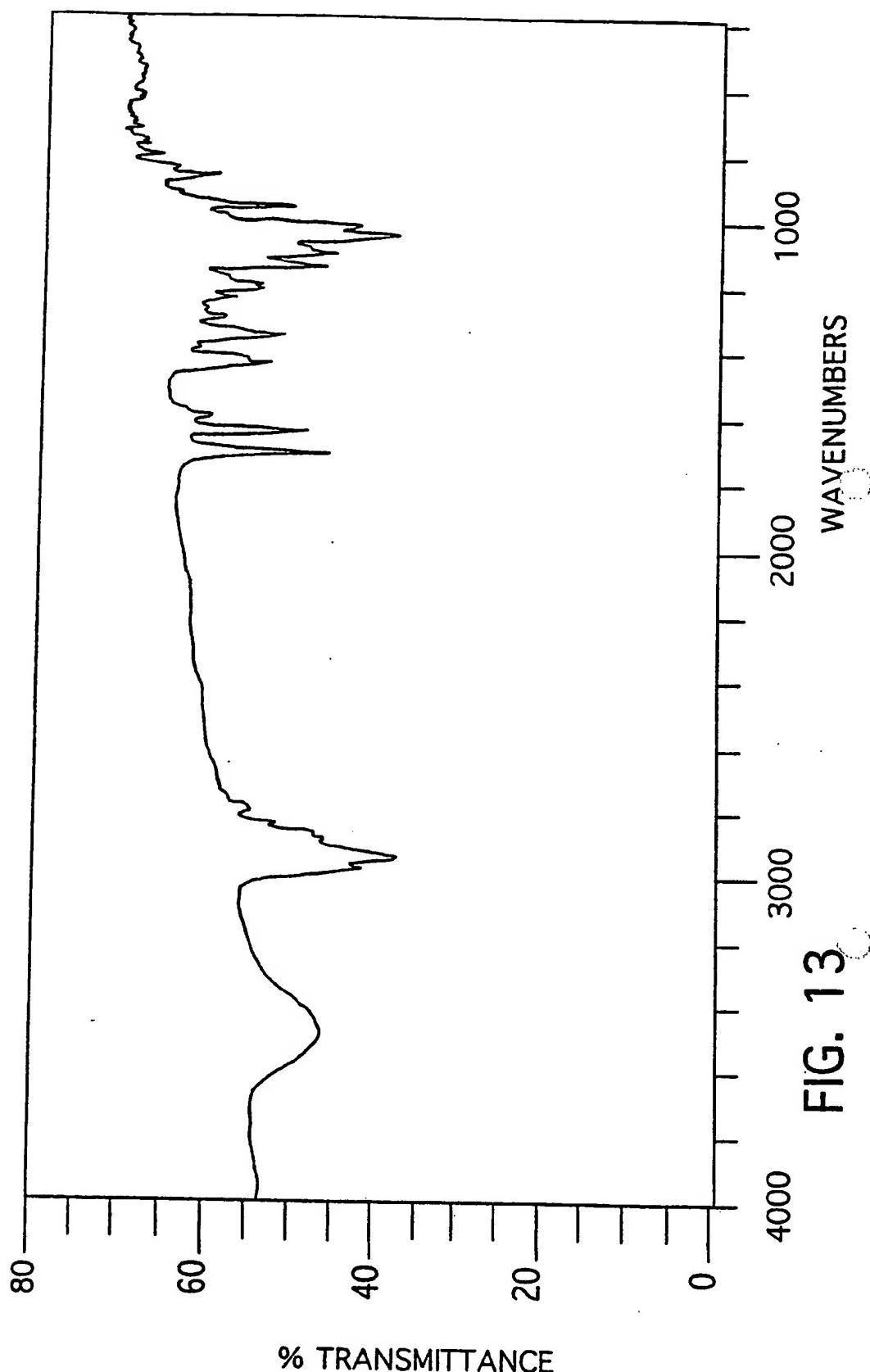


FIG. 13

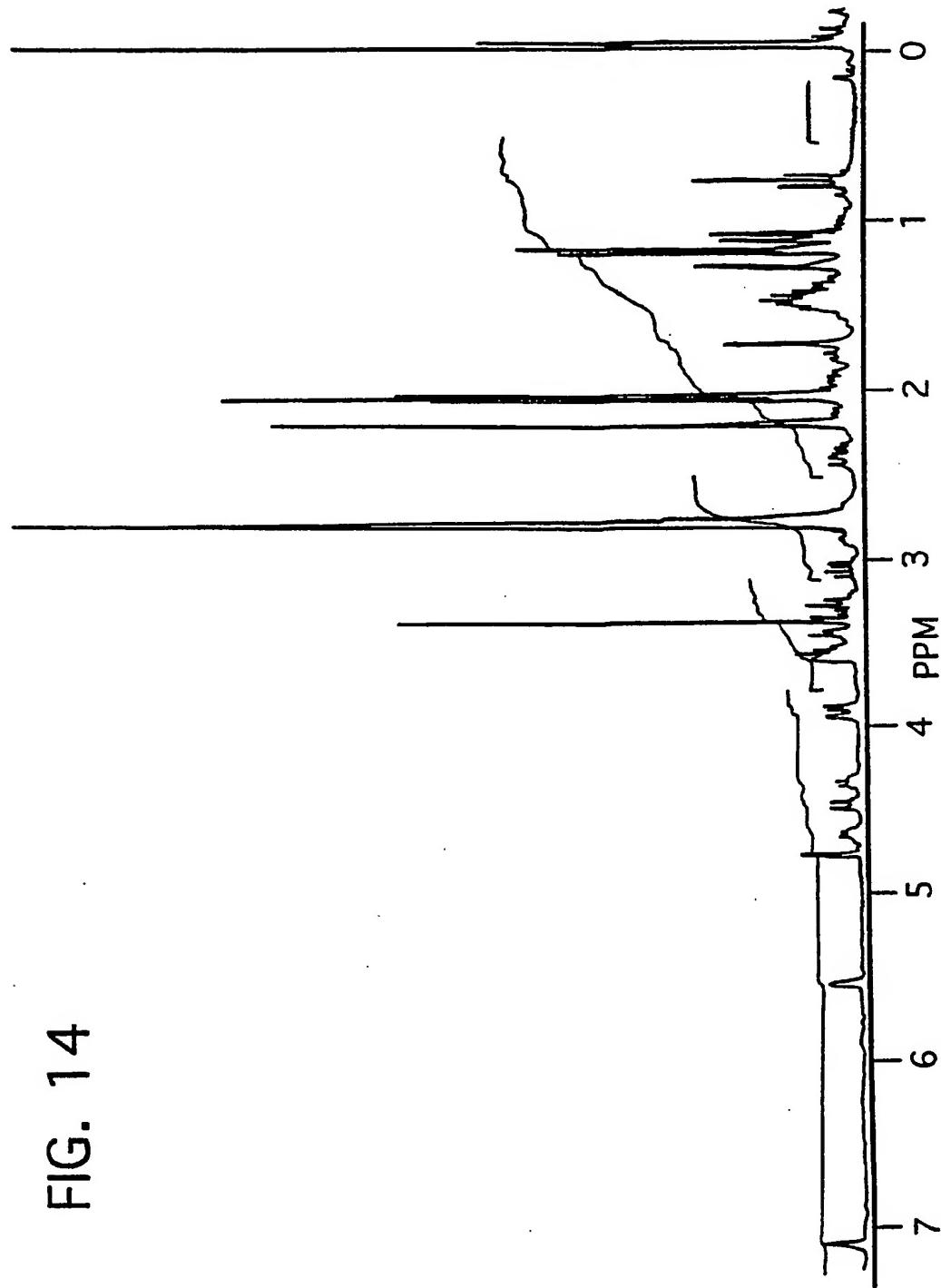


FIG. 14

13/20

SUBSTITUTE SHEET (RULE 26)

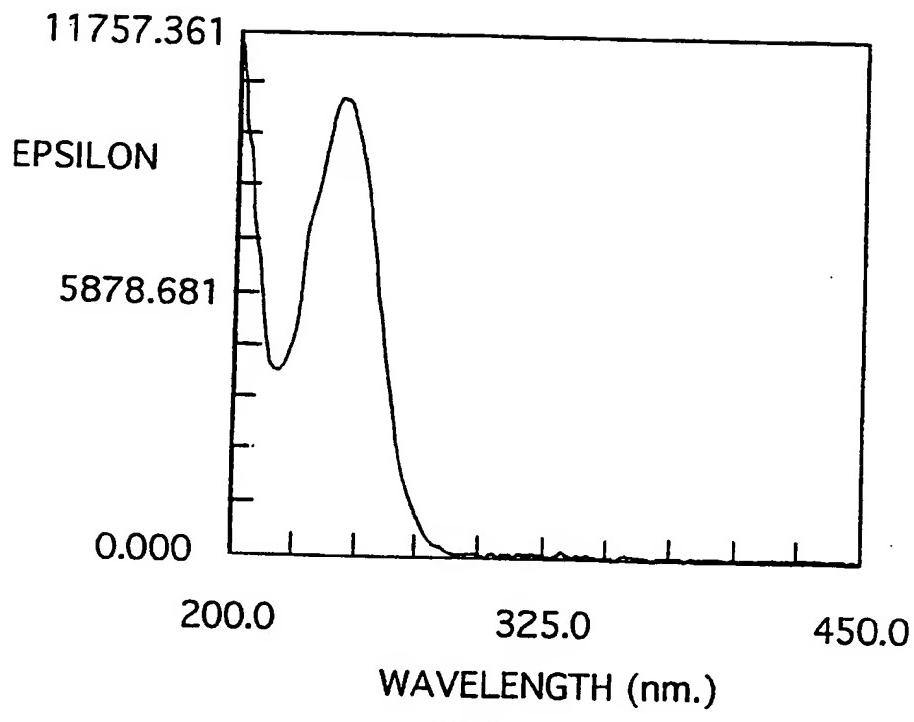


FIG. 15

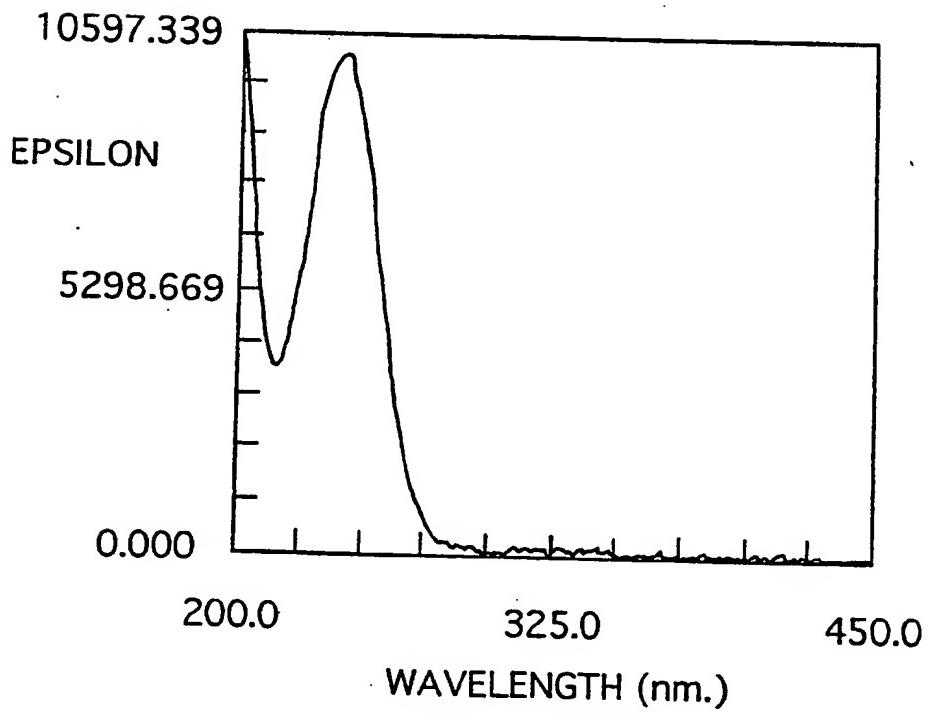
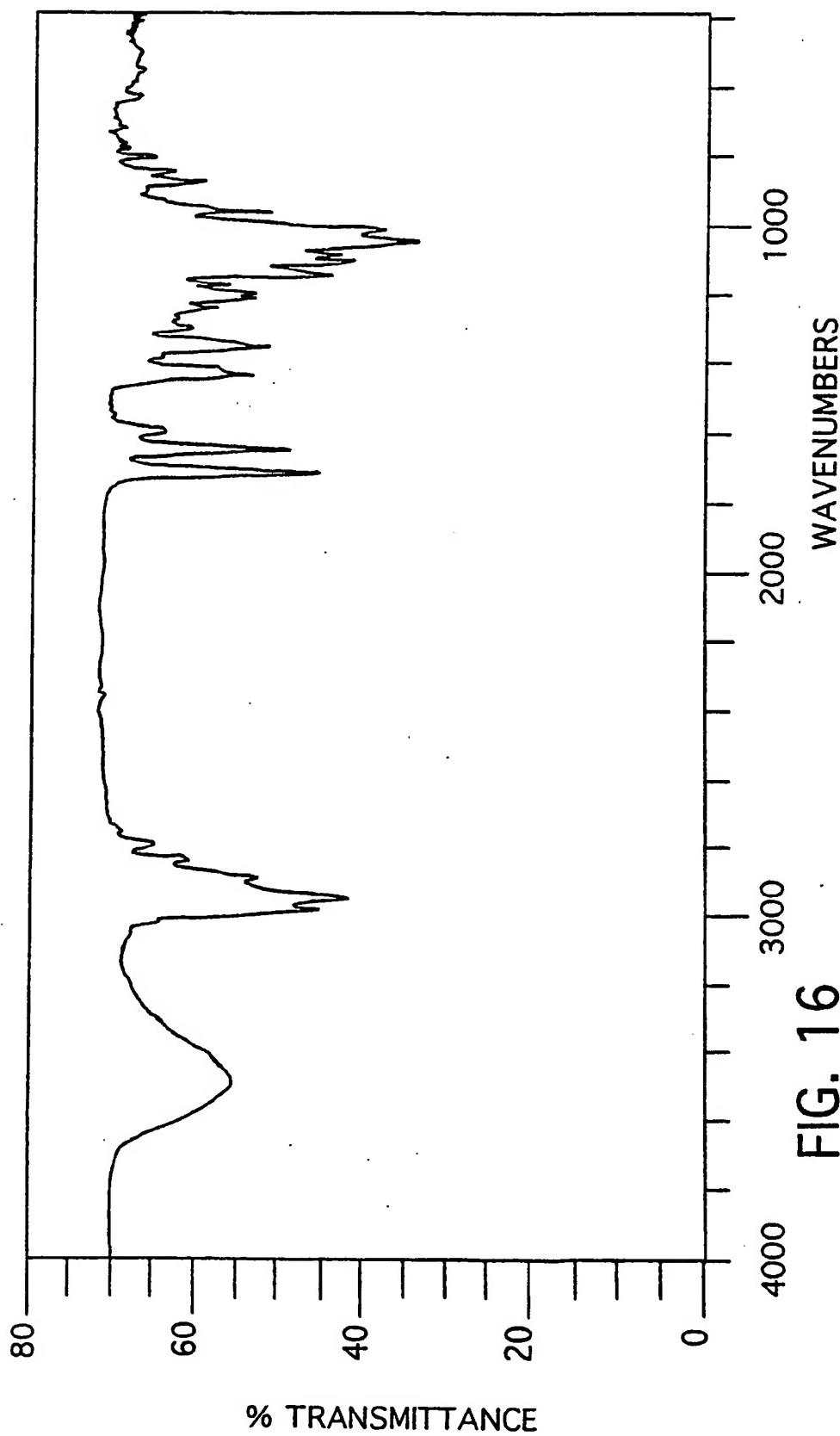


FIG. 18

14 / 20

SUBSTITUTE SHEET (RULE 26)



15 / 20  
SUBSTITUTE SHEET (RULE 26)

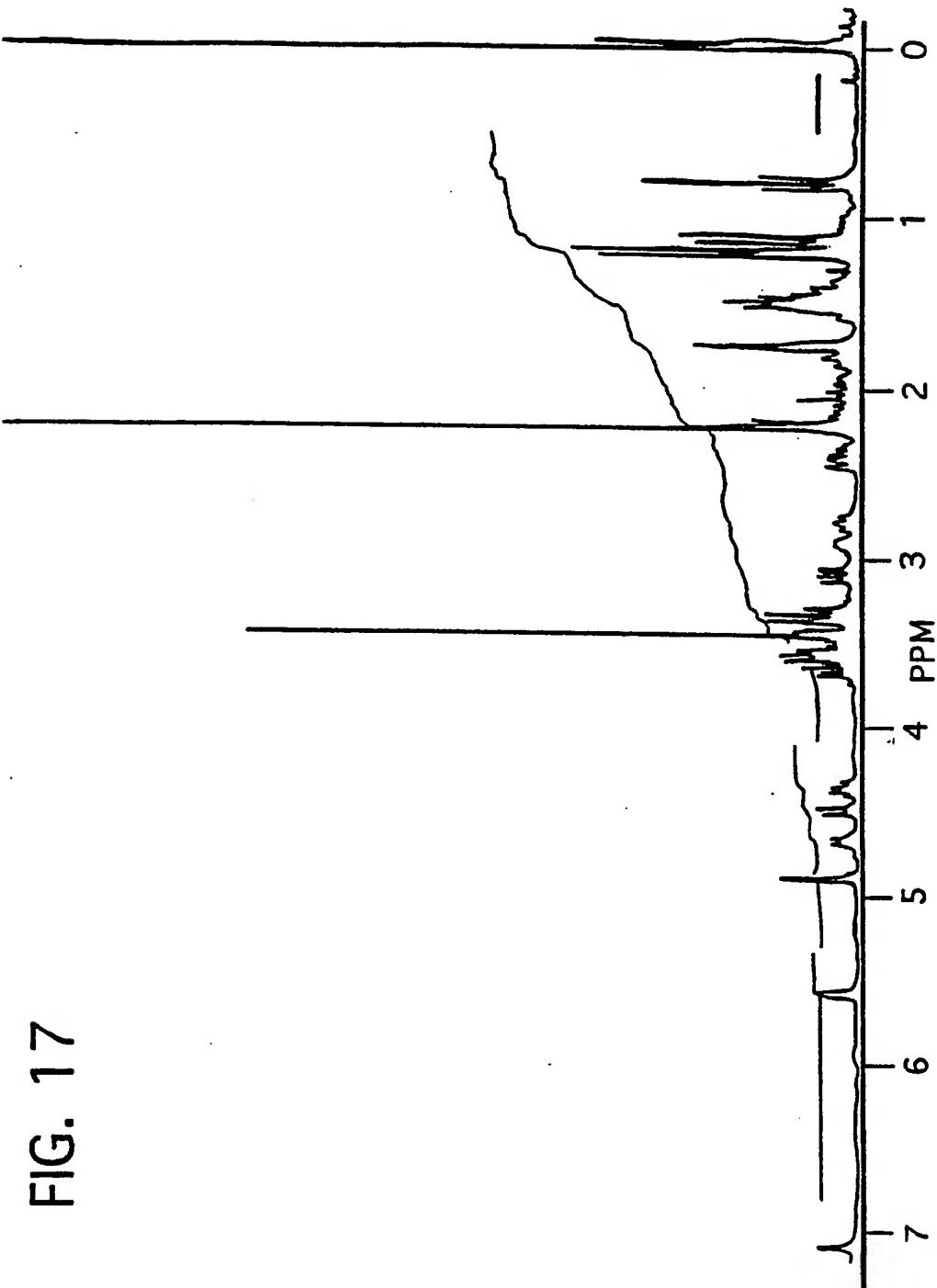


FIG. 17

16 / 20

SUBSTITUTE SHEET (RULE 26)

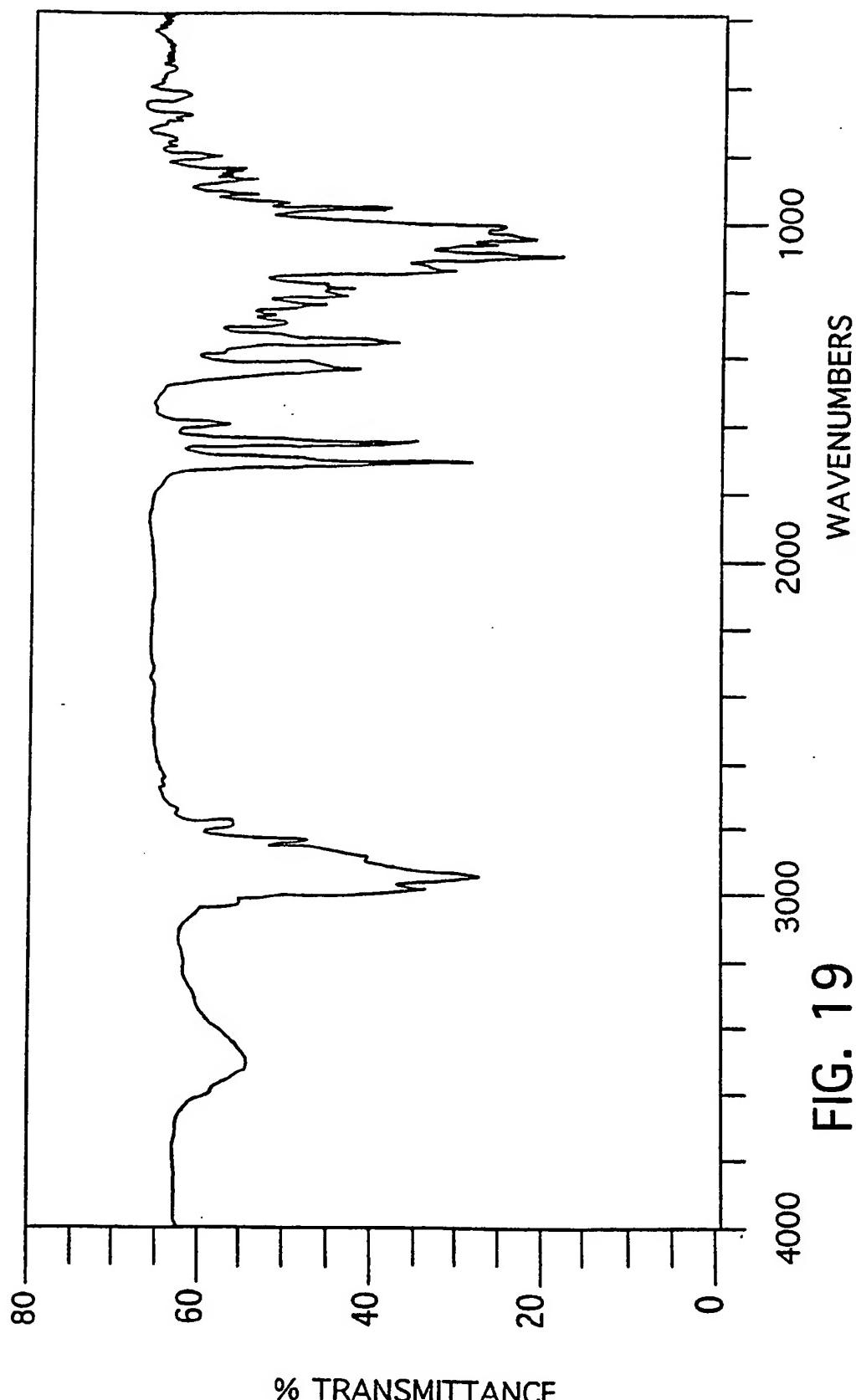
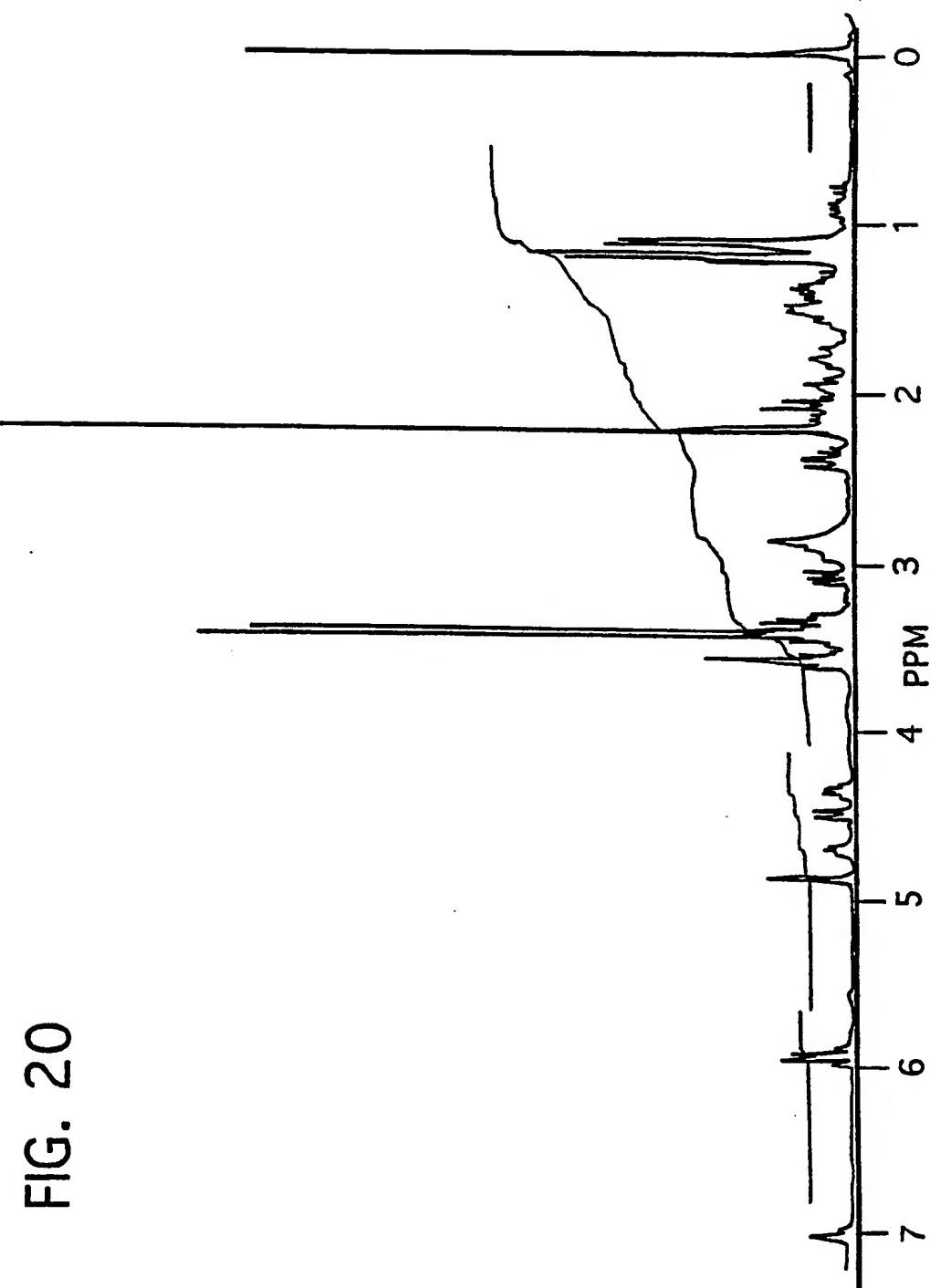


FIG. 19

17/20  
SUBSTITUTE SHEET (RULE 26)

FIG. 20



18 / 20

SUBSTITUTE SHEET (RULE 26)

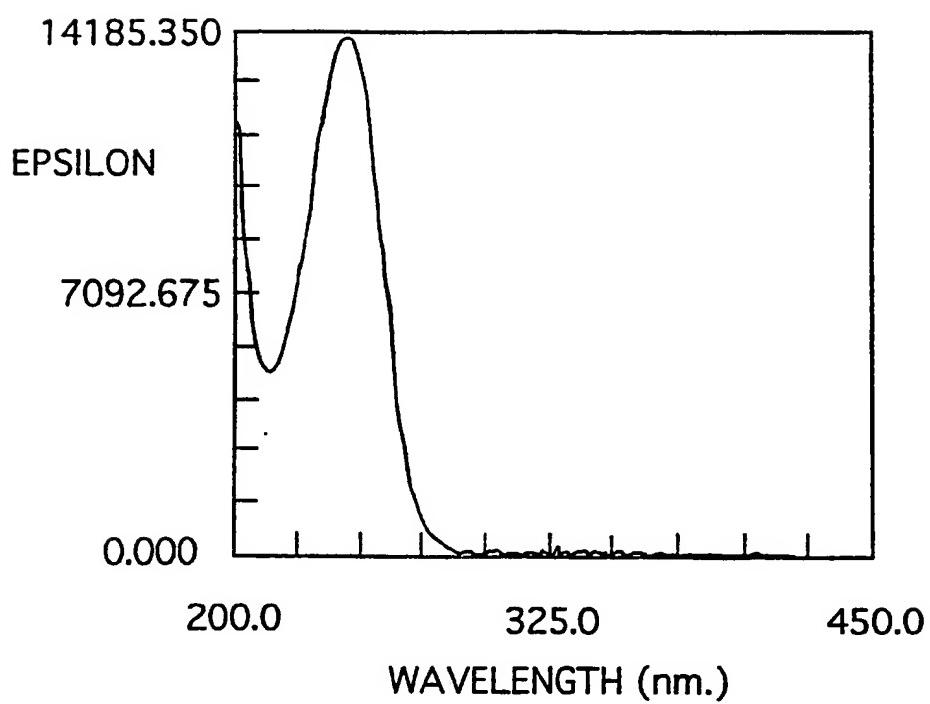
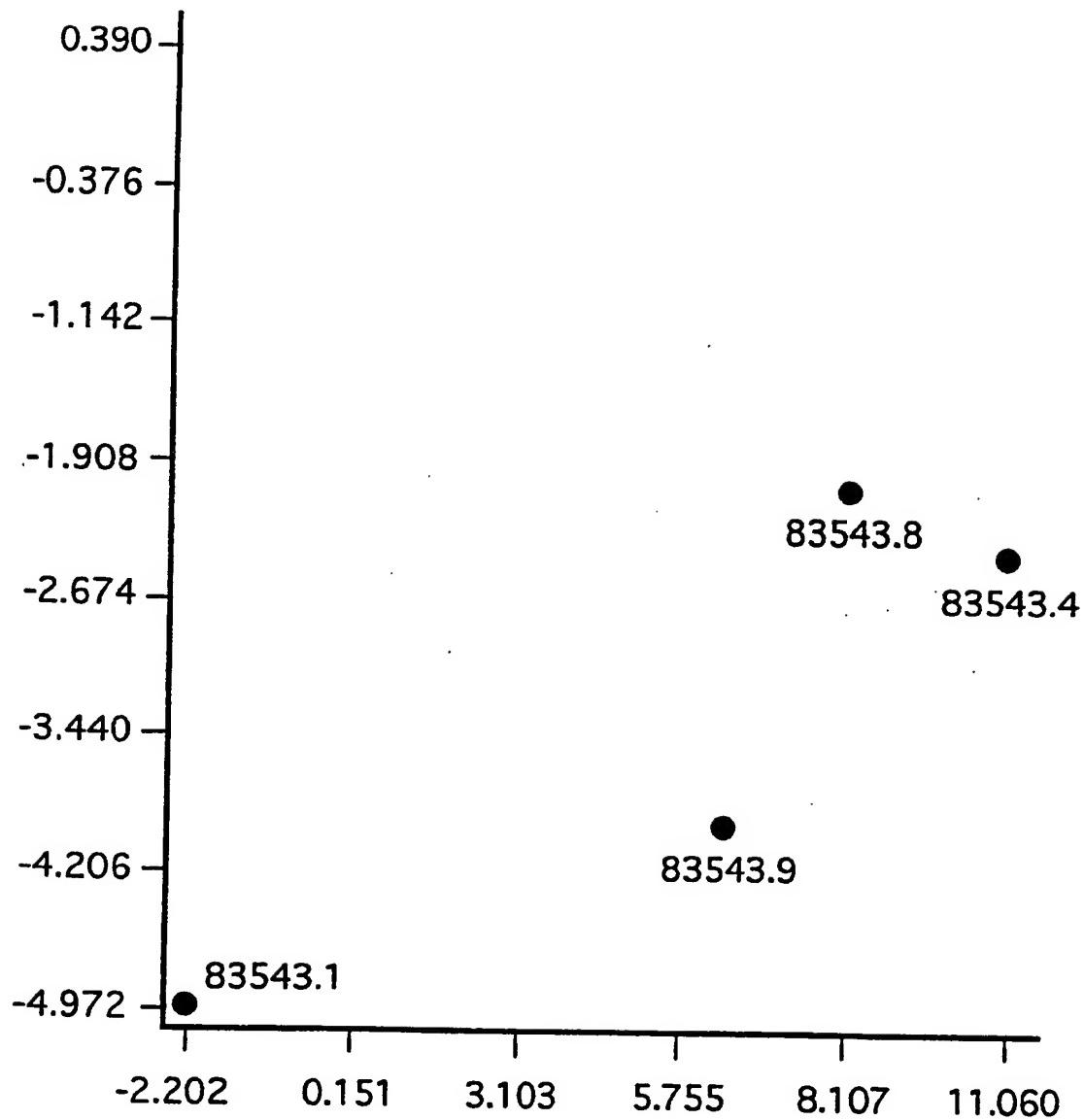


FIG. 21

19/20

SUBSTITUTE SHEET (RULE 26)

FIG. 22



20 / 20

SUBSTITUTE SHEET (RULE 26)

## INTERNATIONAL SEARCH REPORT

national Application No

PCT/US 94/02674

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 5 C07H17/08 C12P19/62 A01N43/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 5 C07H C12P A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 375 316 (ELI LILLY AND CO.) 19 December 1988 cited in the application see the whole document ---	1-30
Y	WO,A,91 06552 (ELI LILLY AND CO.) 16 May 1991 see the whole document ---	1-30
Y	TETRAHEDRON LETTERS., vol.32, no.37, 1991, OXFORD GB pages 4839 - 4843 H.KIRST ET AL. 'A83543A-D, Unique Fermentation-Derived Tetracyclic Macrolides.' cited in the application see the whole document ---	1-30
	-/-	

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search  6 July 1994	Date of mailing of the international search report  03.08.94
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+ 31-70) 340-3016	Authorized officer  Scott, J

Form PCT/ISA/210 (second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 94/02674

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,Y	WO,A,93 09126 (DOWELANCO) 13 May 1993 see the whole document -----	1-30
P,Y	US,A,5 227 295 (BAKER) 13 July 1993 see the whole document -----	1-30

1

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

## INTERNATIONAL SEARCH REPORT

Information on patent family members

National Application No

PCT/US 94/02674

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A-0375316	27-06-90	AU-B-	624458	11-06-92
		AU-A-	4689189	21-06-90
		CA-A-	2005784	19-06-90
		JP-A-	2223589	05-09-90
		OA-A-	9249	30-06-92
		AU-B-	631693	03-12-92
		AU-A-	6641490	31-05-91
		EP-A-	0454820	06-11-91
		JP-T-	5504469	15-07-93
		WO-A-	9106552	16-05-91
WO-A-9106552	16-05-91	AU-B-	624458	11-06-92
		AU-A-	4689189	21-06-90
		AU-B-	631693	03-12-92
		AU-A-	6641490	31-05-91
		CA-A-	2005784	19-06-90
		EP-A-	0375316	27-06-90
		EP-A-	0454820	06-11-91
		JP-A-	2223589	05-09-90
		JP-T-	5504469	15-07-93
		OA-A-	9249	30-06-92
WO-A-9309126	13-05-93	US-A-	5202242	13-04-93
		AU-A-	3131893	07-06-93
		CA-A-	2099569	09-05-93
		CN-A-	1073483	23-06-93
		EP-A-	0573628	15-12-93
US-A-5227295	13-07-93	NONE		

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**